

JONES STEVEN C

Form 4

May 01, 2013

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JONES STEVEN C

(Last) (First) (Middle)

12701 COMMONWEALTH  
DRIVE, SUITE 9

(Street)

FORT MYERS, FL US 33913

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
NEOGENOMICS INC [NEO]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/29/2013

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Executive VP of Finance

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☐ Form filed by One Reporting Person  
☒ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------|---|--|---|---|
|                                 |                                      |  | Code                           | V   | Amount | (A) or (D) | Price   |  |   |   |
| Common Stock                    | 04/29/2013                           |  | S                              |   | 38,457 | D          | \$ 3.81   | 3,674,512  | I   | By Aspen Select Healthcare, LP <sup>(1)</sup> |
| Common Stock                    | 04/30/2013                           |  | S                              |   | 61,543 | D          | \$ 3.65   | 3,612,969  | I   | By Aspen Select Healthcare, LP <sup>(1)</sup> |
| Common Stock                    |                                      |  |                                |   |        |            |   | 346,615  | D   |   |
|                                 |                                      |  |                                |   |        |            |   | 50,476   | I   |   |

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|                 |         |   |  |   |
|-----------------|---------|---|--|---|
| Common<br>Stock |         |   |  | By Jones<br>Network, LP   |
| Common<br>Stock | 34,857  | I |  | Shares<br>owned in<br>IRA and<br>custodian<br>accounts for<br>the<br>immediate<br>family of<br>Steven Jones |
| Common<br>Stock | 190,000 | I |  | By Self as<br>Trustee for<br>Steven and<br>Carisa Jones<br>Defined<br>Benefit Plan<br>and Trust             |
| Common<br>Stock | 129,412 | I |  | By Aspen<br>Opportunity<br>Fund <sup>(2)</sup>  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(*e.g., puts, calls, warrants, options, convertible securities*)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Amount<br>or<br>Number<br>of<br>Shares |
|---|--|---|---|--------------------------------------|--|--|---|---|
| Warrants<br>(Right to<br>Buy)                       | \$ 1.5   |   |   | Code V (A) (D)                       |  | 05/03/2010 <sup>(3)</sup> 05/03/2017                           | Common<br>Stock   | 0   |

|                |         |            |            |              |   |
|----------------|---------|------------|------------|--------------|---|
| Warrants       |         |            |            |              |   |
| (Right to Buy) | \$ 0.75 | 02/09/2009 | 02/09/2014 | Common Stock | 0 |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                         |       |
|--|---------------|-----------|-------------------------|-------|
|  | Director      | 10% Owner | Officer                 | Other |
| JONES STEVEN C<br>12701 COMMONWEALTH DRIVE<br>SUITE 9<br>FORT MYERS, FL US 33913 | X             |           | Executive VP of Finance |       |
| ASPEN SELECT HEALTHCARE LP<br>1740 PERSIMMON DRIVE<br>NAPLES, FL US 33913        | X             |           | Executive VP of Finance |       |

## Signatures

/s/ Steven C. Jones                      05/01/2013

\_\_\_\_\_  
Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Aspen Select Healthcare, LP is a private investment fund. Medical Venture Partners, LLC is the sole general partner of Aspen Select Healthcare, LP, and Mr. Jones is a Managing Member of Medical Venture Partners, LLC. Mr. Jones disclaims beneficial ownership of such shares except to the extent of his respective pecuniary interests therein.
- (1) Healthcare, LP, and Mr. Jones is a Managing Member of Medical Venture Partners, LLC. Mr. Jones disclaims beneficial ownership of such shares except to the extent of his respective pecuniary interests therein.
- (2) An investment partnership entity controlled by Steven Jones. Mr. Jones disclaims beneficial ownership of such shares except to the extent of his respective pecuniary interests therein.
- (3) Such warrants were issued in connection with a consulting agreement, dated 5/3/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.