Ingersoll-Rand plc Form 144 February 24, 2014

OMB APPROVAL

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SEC USE ONLY DOCUMENT SEQUENCE NO.

**CUSIP NUMBER** 

**WORK LOCATION** 

**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

Transmit for filing 3 copies of this form

ATTENTION: concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

# Edgar Filing: Ingersoll-Rand plc - Form 144

IDENT. (c) S.E.C. FILE 1 (a) NAME OF ISSUER (Please type or print)

Ingersoll-Rand plc 98-0626632

1 (d) ADDRESS OF

(e) TELEPHONE **ISSUER STREET CITY** 

NO. STATE ZIP CODE

**AREA NUMBER CODE** 

170/175 Lakeview Drive, Airside Business Park, Swords, Co. 1870-7400

Dublin Ireland +(353)(0)**CITY** 

(c) ADDRESS STREET STATE **ZIP CODE** (b)

2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE **RELATIONSHIP** 

SECURITIES ARE TO BE SOLD TO ISSUER

170/175 Lakeview Drive, Airside Robert L. Katz

Officer Business Park, Swords, Co. Dublin

Ireland

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a)		ess of	SEC USE ONLY	(c)	(d)	(e)	(	(f)	(g)	
Title of the Class of Securities To Be Sold	Each  Broker Through Whom the  Securities are to be Offered  or Each Market Maker			Number of Shares or Other Units To Be Sold (See instr. 3(c))	Value (See insti	or Othe Units	res er l s (stand	Approximate  Date of Sale  (See instr. 3(f))	Name of Each Securities Exchange (See instr. 3(g))	
Ordinary Shares	•		al		3(e)) \$1,079,954.98 (as of 278,035,707		February 24,	NYSE		
(b) (c) (d)			ONS: Name of issuer Issuer's I.R.S. Iden Issuer's S.E.C. file Issuer's address, in Issuer's telephone area code Approximate date securities are to be	e ng	(a) (b) (c) (d) (d)	Title of the class of securities to be sold  Name and address of each broker through whom the securities are intended to be sold  Number of shares or other units to sold (if debt securities, give the aggregate face amount)  Aggregate market value of the securities to be sold as of a specific date within 10 days prior to the filit of this notice		ach broker nrities are ther units to be give the ) e of the of a specified		

# Edgar Filing: Ingersoll-Rand plc - Form 144

- Name of each securities exchange, if
  (g) any, on which the securities are
  intended to be sold
- 2. (a) Name of person for whose account the securities are to be sold Such person's relationship to the issuer (e.g., officer, director,
  - (b) 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person's address, including zip code
- (e) Number of shares or other units of outstanding, as shown by the most the class outstanding, or if debt securities the face amount thereof recent report or statement published by the issuer

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

### TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired) Ingersoll-F		Date of Payment	Nature of Payment
	02/24/2014	Exercise of stock options	plc	2,302	02/24/2014	Cash
Ordin	ary 02/24/2014	Exercise of stock options	Ingersoll-R	Rand 4,404	02/24/2014	Cash
Shares	,	•	•	·		
	02/24/2014	Exercise of stock options	Ingersoll-R	Rafn,d 67	02/24/2014	Cash
	02/24/2014	Exercise of stock options	Ingersoll-R	5,705 Rand	02/24/2014	Cash

**INSTRUCTIONS:** If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

## TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Amount of

**Gross Proceeds** 

Name and Address of Seller Title of Securities Sold Date of Sale Securities Sold

#### **REMARKS:**

### **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

February 24, 2014 DATE OF NOTICE

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

## **ATTENTION:**

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If each person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ Sara Walden Brown, Attorney-In-Fact (SIGNATURE)

The notice shall be signed by the person for whose account the securities are

to be sold. At least onecopy of the notice shall be manually signed.

Any copies not manually signed shall bear typed o printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)