Aimmune Therapeutics, Inc. Form SC 13G/A February 14, 2017

# SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Schedule 13G (Rule 13d-102)

# INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULES 13d-1 (b), (c) AND (d)

# AND AMENDMENTS THERETO FILED PURSUANT TO 13d-2 (b)

(Amendment No. 2)\*

Aimmune Therapeutics, Inc.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

00900T107

(CUSIP Number)

December 31, 2016

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

# Edgar Filing: Aimmune Therapeutics, Inc. - Form SC 13G/A

	Rule 13d-1(b)
X	Rule 13d-1(c)
	Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(Continued on following pages)

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Exhibit Index on Page 10

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1 NAME OF REPORT 2 CHECK THE APPR	OPRIATE BOX IF A MEMBER OF A GROUP	
	(a) (b)	Х
3 SEC USE ONLY		
4 Delaware	PLACE OF ORGANIZATION	
	SOLE VOTING POWER	
	3,835,830 shares, except that Foresite Capital Management II, LLC	("FCM II"), the general
	5 partner of FCF II, may be deemed to have sole power to vote these s	
NUMBER OF	Tananbaum ("Tananbaum"), the managing member of FCM II, may	be deemed to have sole
SHARES	power to vote these shares.	
BENEFICIALLY	6 SHARED VOTING POWER	
OWNED BY EACH	See response to row 5.	
REPORTING	SOLE DISPOSITIVE POWER	
PERSON	<sup>7</sup> 3,835,830 shares, except that FCM II, the general partner of FCF II,	may be deemed to have
WITH	' sole power to dispose of these shares, and Tananbaum, the managing	g member of FCM II,
	may be deemed to have sole power to dispose of these shares.	
	8 SHARED DISPOSITIVE POWER	
	See response to row 7.	
y	IOUNT BENEFICIALLY OWNED BY EACH	2 025 020
		3,835,830
	HE AGGREGATE AMOUNT IN ROW (9)	
EXCLUDES CERT		
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9		
		7.7%
12 TYPE OF REPORTING PERSON		PN

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#### **1NAME OF REPORTING PERSONS** Foresite Capital Management II, LLC ("FCM II") 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) (b) х **3SEC USE ONLY** CITIZENSHIP OR PLACE OF ORGANIZATION Delaware SOLE VOTING POWER 3,835,830 shares, all of which are directly owned by Foresite Capital Fund II, L.P. ("FCF 5II"). FCM II, the general partner of FCF II, may be deemed to have sole power to vote these shares, and James Tananbaum ("Tananbaum"), the managing member of FCM II, may be NUMBER OF deemed to have sole power to vote these shares. SHARES 6 SHARED VOTING POWER BENEFICIALLY See response to row 5. OWNED BY EACH SOLE DISPOSITIVE POWER REPORTING 3,835,830 shares, all of which are directly owned by FCF II. FCM II, the general partner of PERSON FCF II, may be deemed to have sole power to dispose of these shares, and Tananbaum, the WITH managing member of FCM II, may be deemed to have sole power to dispose of these shares. 8 SHARED DISPOSITIVE POWER See response to row 7. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 9 **REPORTING PERSON** 3,835,830 10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) ••• EXCLUDES CERTAIN SHARES 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 7.7% **12TYPE OF REPORTING PERSON** 00

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1 NAME OF REPORT 2 CHECK THE APPR	OPRIATE BOX IF A MEMBER OF A GROUP	_
3SEC USE ONLY CITIZENSHIP OR F <sup>4</sup> Delaware	(a) " (b) PLACE OF ORGANIZATION SOLE VOTING POWER 903,890 shares, except that Foresite Capital Management III, LLC	
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	<ul> <li>5 partner of FCF III, may be deemed to have sole power to vote these Tananbaum ("Tananbaum"), the managing member of FCM III, m power to vote these shares.</li> <li>6 SHARED VOTING POWER</li> <li>6 See response to row 5.</li> <li>SOLE DISPOSITIVE POWER</li> <li>7 903,890 shares, except that FCM III, the general partner of FCF II sole power to dispose of these shares, and Tananbaum, the managimay be deemed to have sole power to dispose of these shares.</li> <li>8 SHARED DISPOSITIVE POWER</li> <li>8 SHARED DISPOSITIVE POWER</li> </ul>	e shares, and James hay be deemed to have sole I, may be deemed to have
<sup>9</sup> REPORTING PER CHECK BOX IF T 10 EXCLUDES CERT	HE AGGREGATE AMOUNT IN ROW (9)	903,890  1.8%
12 TYPE OF REPORTING PERSON		PN

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3 SEC USE ONLY	OPRIATE BOX IF A MEMBER OF A GROUP (a) " (b	
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH 903,890 shares, all of 5III"). FCM III, the get these shares, and Jam be deemed to have so SHARED VOTING II See response to row 5 SOLE DISPOSITIVE 903,890 shares, all of 5III"). FCM III, the get these shares, and Jam be deemed to have so SULE DISPOSITIVE 903,890 shares, all of SHARED VOTING II See response to row 5 SOLE DISPOSITIVE 903,890 shares, all of SHARED VOTING II See response to row 5 SOLE DISPOSITIVE 903,890 shares, all of SHARED VOTING II See response to row 5 SOLE DISPOSITIVE 8 SHARED VOTING FCF III, may be deem managing member of SHARED DISPOSITI	SOLE VOTING POWER 903,890 shares, all of which are directly owned by Foresite Capital Fund III, L.P. ("FCF 5III"). FCM III, the general partner of FCF III, may be deemed to have sole power to vote these shares, and James Tananbaum ("Tananbaum"), the managing member of FCM III, may be deemed to have sole power to vote these shares. 6 SHARED VOTING POWER 6 See response to row 5.	
	SOLE DISPOSITIVE POWER 903,890 shares, all of which are directly owned by FCF III. FCM FCF III, may be deemed to have sole power to dispose of these sh managing member of FCM III, may be deemed to have sole powe 8 SHARED DISPOSITIVE POWER See response to row 7.	ares, and Tananbaum, the
<sup>9</sup> REPORTING PER 10 CHECK BOX IF T EXCLUDES CERT	HE AGGREGATE AMOUNT IN ROW (9)	903,890  1.8%
12 TYPE OF REPORT	ΓING PERSON	00

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1NAME OF REPORTING PERSONS James Tananbaum ("Tananbaum") 2 <sup>CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP</sup> (a) " (b) 3SEC USE ONLY	Х
CITIZENSHIP OR PLACE OF ORGANIZATION United States	
SOLE VOTING POWER	
4,739,720 shares, of which 3,835,830 shares are directly owned by F	Foresite Capital Fund II,
L.P. ("FCF II"), and 903,890 shares are directly owned by Foresite C	*
5III"). Tananbaum is the managing member of each of Foresite Capit	
("FCM II"), which is the general partner of FCF II, and Foresite Car	
NUMBER OF ("FCM III"), which is the general partner of FCF III. Tananbaum m	÷
SHARES power to vote these shares.	
BENEFICIALLY SHARED VOTING POWER	
OWNED BY EACH <sup>o</sup> See response to row 5.	
REPORTING SOLE DISPOSITIVE POWER	
PERSON 4,739,720 shares, of which 3,835,830 shares are directly owned by F	FCF II, and 903,890 shares
WITH 7 are directly owned by FCF III. Tananbaum is the managing member	
the general partner of FCF II, and FCM III, which is the general part	
III. Tananbaum may be deemed to have sole power to dispose of the SHARED DISPOSITIVE POWER	ese snares.
8 See response to row 7.	
ACCRECATE AMOUNT RENEFICIALLY OWNED BY EACH	
9 REPORTING PERSON	4,739,720
CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)	1,739,720
<sup>10</sup> EXCLUDES CERTAIN SHARES	
DEDCENT OF CLASS DEDDESENTED BY AMOUNT IN DOW ()	
11 11 11 TERCENT OF CLASS REFRESENTED BT AMOUNT IN ROW 9	9.5%
12 TYPE OF REPORTING PERSON	IN

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# ITEM 1(A). NAME OF ISSUER

Aimmune Therapeutics, Inc. (the "Issuer")

# ITEM 1(B). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES

8000 Marina Blvd., Suite 300

Brisbane, CA 94005

# ITEM 2(A). NAME OF PERSONS FILING

This Schedule is filed by Foresite Capital Fund II, L.P., a Delaware limited partnership, Foresite Capital Management II, LLC, a Delaware limited liability company, Foresite Capital Fund III, L.P., a Delaware limited partnership, Foresite Capital Management III, LLC, a Delaware limited liability company and James Tananbaum. The foregoing entities and individuals are collectively referred to as the "Reporting Persons."

# ITEM 2(B). ADDRESS OF PRINCIPAL OFFICE

The address for each of the Reporting Persons is:

c/o Foresite Capital Management

600 Montgomery Street, Suite 4500

San Francisco, CA 94111

# ITEM 2(C). CITIZENSHIP

See Row 4 of cover page for each Reporting Person.

# ITEM 2(D). TITLE OF CLASS OF SECURITIES

Common Stock

# ITEM 2(D) CUSIP NUMBER

00900T107

# ITEM 3. If this statement is filed pursuant to Rule 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

Not applicable.

## ITEM 4. OWNERSHIP

The following information with respect to the ownership of the common stock of the Issuer by the persons filing this Statement is provided as of December 31, 2016:

(a)

Amount beneficially owned:

See Row 9 of cover page for each Reporting Person.

(b)

Percent of Class:

See Row 11 of cover page for each Reporting Person.

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(c)	Number of shares as to which such person has:	
(i)	Sole power to vote or to direct the vote:	
See Row 5 of cover page for each Reporting Person.		
(ii)	Shared power to vote or to direct the vote:	
See Row 6 of cover page for each Reporting Person.		
(iii)	Sole power to dispose or to direct the disposition of:	
See Row 7 of cover page for each Reporting Person.		
(iv) <u>S</u>	chared power to dispose or to direct the disposition of:	
See Row 8 of cover page for each Reporting Person.		
ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS		
Not applicable.		

# ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

Under certain circumstances set forth in the limited partnership agreements of FCF II and FCF III and the limited liability company agreements of FCM II and FCM III the partners or members, as the case may be, of each of such entities may be deemed to have the right to receive dividends from, or the proceeds from the sale of, shares of the

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Issuer directly or indirectly owned by each such entity of which they are a partner or member.

# ITEMIDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE7.SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY

Not applicable.

# ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable

# ITEM 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable

# ITEM 10. CERTIFICATION.

By signing below, I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under § 240.14a-11.

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#### **SIGNATURES**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 13, 2017

#### FORESITE CAPITAL FUND II, L.P.

By: FORESITE CAPITAL MANAGEMENT II, LLC Its: General Partner

By: /s/ James Tananbaum Name: James Tananbaum Title: Managing Member

#### FORESITE CAPITAL MANAGEMENT II, LLC

By: /s/ James Tananbaum Name: James Tananbaum Title: Managing Member

### FORESITE CAPITAL FUND III, L.P.

By: FORESITE CAPITAL MANAGEMENT III, LLC Its: General Partner

By: /s/ James Tananbaum Name: James Tananbaum Title: Managing Member

#### FORESITE CAPITAL MANAGEMENT III, LLC

By: /s/ James Tananbaum Name: James Tananbaum Title: Managing Member

JAMES TANANBAUM

By: /s/ James Tananbaum Name: James Tananbaum CUSIP # 00900T107 Page 10 of 11

# EXHIBIT INDEX

Exhibit

Found on Sequentially Numbered Page

Exhibit A: Agreement of Joint Filing 11

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exhibit A

Agreement of Joint Filing

The Reporting Persons hereby agree that a single Schedule 13G (or any amendment thereto) relating to the common stock of the Issuer shall be filed on behalf of each of the Reporting Persons. Note that a copy of the applicable Agreement of Joint Filing is already on file with the appropriate agencies.