**VISUALANT INC** Form 4 September 05, 2013

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Kruse Jeffrey Richard |          |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol VISUALANT INC [VSUL] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |
|---|----------|----------|---|--|--|--|
| (Last)  | (First)  | (Middle) | 3. Date of Earliest Transaction   | (Check all applicable)   |  |  |
|   |          |          | (Month/Day/Year)  | Director 10% Owner   |  |  |
| 12142 NE SKY LANE, SUITE 130                                    |          | JITE 130 | 09/03/2013  | Officer (give title _X_ Other (specify below)  Pres. of TransTech Systems Inc                        |  |  |
|   | (Street) |          | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check  |  |  |
| AURORA, OR  | 97002    |          | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                               | (State)                              | (Zip) Table   | e I - Non-D     | erivative S   | Securi | ities Acqu     | ired, Disposed of  | , or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|-----------------|---|--------|----------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |        |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 09/03/2013                           |   | Code V P        | Amount 20,000   | (D)    | Price \$ 0.075 | 140,000  | D  |   |
| Common<br>Stock                      | 09/04/2013                           |   | P               | 10,000  | A      | \$<br>0.075    | 150,000  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  | 8. Pr<br>Deri<br>Secu<br>(Inst |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|---|----------------------------------|--------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |                                |
| Option to<br>Purchase<br>Common<br>Stock            | \$ 0.09   |                                      |   |  |   | <u>(1)</u>          | 06/07/2020         | Common<br>Stock   | 300,000                          |                                |
| Option to<br>Purchase<br>Common<br>Stock            | \$ 0.12   |                                      |   |  |   | (2)                 | 11/28/2014         | Common<br>Stock   | 100,000                          |                                |
| Option to<br>Purchase<br>Common<br>Stock            | \$ 0.1  |                                      |   |  |   | (3)                 | 08/26/2018         | Common<br>Stock   | 800,000                          |                                |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |     |  |  |
|--------------------------------|---------------|-----------|---------|-----|--|--|
|                                | Director      | 10% Owner | Officer | Oth |  |  |

Other 10% Owner Officer Director

Kruse Jeffrey Richard 12142 NE SKY LANE **SUITE 130** 

Pres. of TransTech Systems Inc

AURORA, OR 97002

# **Signatures**

/s/ Jeffrey Kruse 09/05/2013 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Option shares vest 25% after 6 months, then 25% in 3 successive 12 month periods commencing 06/08/2010.
- (2) Option shares vest quarterly over 3 years commencing 11/29/2011.

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(3) Option shares vest quarterly over 3 years commencing 08/27/2013.

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