

Edgar Filing: WESTWOOD HOLDINGS GROUP INC - Form 4

WESTWOOD HOLDINGS GROUP INC

Form 4

November 21, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

Mosle, Jon L., Jr.

-----  
(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

-----  
(Street)

Dallas, Texas 75201

-----  
(City)

(State)

(Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

11/19/02

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

-----  
7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person

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[ ] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

6. 5. or Securities Beneficially Owned at End 1. of Month Title of Security Price (Instr. 3) and 4)	Owner- ship Amount of Form: Direct	7. Nature of (D) or Indirect Beneficial (I)	2. Transaction Date (mm/dd/yy)	3. Transaction Code	4. Securities Acquired (A) Disposed of (D) (Instr. 3, 4 and 5)
Common Stock			11/19/02	G	23,074 (1) D
Common Stock					

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

10.		9.		2.		5.		7.	
Number	Owner-	ship							
of	Form								
Deriv-	of	2.							
ative	Deriv-	11.							
Amount	Secur-	sion							
Underlying	or	ative	Nature						
Price	Bene-	ity:	In-	4.					
and 4)	of	cise	3.	Trans-	Acquired (A)	Exercisable and	(Instr. 3		
-----	Deriv-	Price	Trans-	action	or Disposed	Expiration Date	-----		
1.	Owned	(D) or	Bene-		of(D)	(Month/Day/Year)			
Amount	ative	at End	In-	4.	(Instr. 3,	-----			
Title of	Secur-	Deriv-	Date	direct	Owner-				
Derivative	ative	(Month/	8)	4 and 5)	Date	Expira-			
Number	ity	Month	(I)	ship					
Security	Month	Secur-	Day/	-----	Exer-	tion			
of	(Instr. 3)	(Instr. 4)	(Instr. 4)	(Instr. 4)	(A)	(D)	cisable	Date	Title
Shares	5)	4)	4)	4)					

Explanation of Responses:  
(1) Represents transfer of shares to wife

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/s/ William R. Hardcastle, Jr.

11/21/02

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\*\*Signature of Reporting Person  
as Attorney-in-fact

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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