

SIMMONS FIRST NATIONAL CORP

Form 4

November 05, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bartlett David L

2. Issuer Name **and** Ticker or Trading
Symbol
SIMMONS FIRST NATIONAL
CORP [SFNC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

SIMMONS FIRST NATIONAL
CORP, 501 MAIN STREET

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/05/2013

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President and CBO

PINE BLUFF, AR 71603

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
SFNC	11/05/2013		M		3,000	A	\$ 23.78
SFNC	11/05/2013		S		3,000	D	\$ 33
SFNC							1,988

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option	\$ 23.78	07/26/2004	11/05/2013	M	600			07/26/2008	07/26/2014	Common	600
Incentive Stock Option	\$ 23.78	07/26/2004	11/05/2013	M	2,400			12/31/2005	07/26/2014	Common	2,400
Incentive Stock Option	\$ 24.5	05/23/2005		X	0			05/23/2007	05/24/2015	Common	22,000
Incentive Stock Option	\$ 24.5	05/23/2005		X	0			05/23/2008	05/24/2015	Common	22,000
Incentive Stock Option	\$ 24.5	05/23/2005		X	0			05/23/2009	05/24/2015	Common	22,000
Incentive Stock Option	\$ 24.5	05/23/2005		X	0			12/31/2005	05/24/2015	Common	44,000
Incentive Stock Option	\$ 26.19	05/22/2006		X	0			05/22/2007	05/20/2016	Common	36,000
Incentive Stock Option	\$ 26.19	05/22/2006		X	0			05/22/2008	05/20/2016	Common	36,000
Incentive Stock Option	\$ 26.19	05/22/2006		X	0			05/22/2009	05/20/2016	Common	36,000
Incentive Stock Option	\$ 26.19	05/22/2006		X	0			05/22/2010	05/20/2016	Common	36,000
Incentive Stock Option	\$ 26.19	05/22/2006		X	0			05/22/2011	05/20/2016	Common	36,000
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0			05/22/2007	05/20/2016	Common	50,000
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0			05/22/2008	05/20/2016	Common	50,000
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0			05/22/2009	05/20/2016	Common	50,000
	\$ 26.19	05/22/2006		X	0			05/22/2010	05/20/2016	Common	50,000

Non-Qualified
Stock Option

Non-Qualified Stock Option	\$ 26.19	05/22/2006	X	0	05/22/2011	05/20/2016	Common	3,0
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2008	05/31/2017	Common	48
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2009	05/31/2017	Common	48
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2010	05/31/2017	Common	48
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2011	05/31/2017	Common	48
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2012	05/31/2017	Common	48
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2009	05/28/2018	Common	1,2
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2010	05/28/2018	Common	1,2
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2011	05/28/2018	Common	1,2
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2012	05/28/2018	Common	1,2
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2013	05/28/2018	Common	1,2

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bartlett David L SIMMONS FIRST NATIONAL CORP 501 MAIN STREET PINE BLUFF, AR 71603	X		President and CBO	

Signatures

/s/David L. Bartlett by Piper P.
Erwin 11/05/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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