

SIMMONS FIRST NATIONAL CORP

Form 5

February 13, 2014

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).

Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
Expires: January 31,
2005
Estimated average
burden hours per
response... 1.0

1. Name and Address of Reporting Person *
Casteel Marty

(Last) (First) (Middle)

SIMMONS FIRST NATIONAL
CORP, 501 MAIN STREET

(Street)

2. Issuer Name and Ticker or Trading
Symbol

SIMMONS FIRST NATIONAL
CORP [SFNC]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2013

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
EVP

4. If Amendment, Date Original
Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

PINE BLUFF, AR 71603

(City) (State) (Zip)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
SFNC	12/31/2013	Â	J	311	A \$ 24.09	1,877	D (1) Â
SFNC	Â	Â	Â	Â	Â	24,905	D Â
SFNC	Â	Â	Â	Â	Â	9,804	D Â
SFNC	Â	Â	Â	Â	Â	3,552	D Â

Reminder: Report on a separate line for each class of
securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information
contained in this form are not required to respond unless
the form displays a currently valid OMB control number.**

SEC 2270
(9-02)

Edgar Filing: SIMMONS FIRST NATIONAL CORP - Form 5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option	\$ 23.78	07/26/2004	Â	X	0	Â	12/31/2005	07/26/2014	Common	2,000
Incentive Stock Option	\$ 24.5	05/23/2005	Â	X	0	Â	12/31/2005	05/24/2015	Common	92
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2007	05/20/2016	Common	20
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2008	05/20/2016	Common	20
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2009	05/20/2016	Common	20
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2010	05/20/2016	Common	20
Incentive Stock Option	\$ 26.19	05/22/2006	Â	X	0	Â	05/22/2011	05/20/2016	Common	20
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2008	05/31/2017	Common	24
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2009	05/31/2017	Common	24
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2010	05/31/2017	Common	24
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2011	05/31/2017	Common	24
Incentive Stock Option	\$ 28.42	05/31/2007	Â	X	0	Â	05/31/2012	05/31/2017	Common	24
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2009	05/28/2018	Common	84
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2010	05/28/2018	Common	84

Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2011	05/28/2018	Common	84
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2012	05/28/2018	Common	84
Non-Qualified Stock Option	\$ 30.31	05/29/2008	Â	X	0	Â	05/29/2013	05/28/2018	Common	84

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Casteel Marty SIMMONS FIRST NATIONAL CORP 501 MAIN STREET PINE BLUFF,Â ARÂ 71603	Â	Â	Â EVP	Â

Signatures

/s/ Marty Casteel by Piper P.
Erwin

02/13/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares acquired through the Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.