Edgar Filing: CIENA CORP - Form 4

CIENA CORP

Stock

Form 4											
October 16, 2	2014										
FORM	4					·			OMB AF	PROVAL	
	UNITED	STATES		ITIES A hington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check this box if no longer				B ,					Expires:	January 31, 2005	
subject to Section 10 Form 4 or	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Estimated average burden hours per response 0.					
Form 5 obligation may conti <i>See</i> Instru 1(b).	ility Hold	of the Securities Exchange Act of 1934, Holding Company Act of 1935 or Section nent Company Act of 1940									
(Print or Type R	Responses)										
			2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN]				ng	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction			(Check all applicable)					
C/O CIENA RIDGE RD.	CORPORATIO	ON, 7035	(Month/D 10/15/20	-				Director X Officer (give below) Sr VP Chie		Owner er (specify Officer	
			f Amendment, Date Original ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
HANOVER	, MD 21076-142	26						Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		n Date, if	Code (Instr. 3, 4 and 5) (Instr. 8)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
Common				Code V	Amount 3,500	(A) or (D)	Price \$	Transaction(s) (Instr. 3 and 4)			
Common	10/15/2014			S	(1)	D	ψ	152,951 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

13.96

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

Edgar Filing: CIENA CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
ALEXANDER STEPHEN B C/O CIENA CORPORATION 7035 RIDGE RD. HANOVER, MD 21076-1426			Sr VP Chief Technology Officer					
Signatures								
By: Erik Lichter For: Stephen B. Alexander		10/16/2014						
<u>**</u> Signature of Reporting Person		Date						
Explanation of Responses:								

/// UI NESPUIISES.

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales were effected pursuant to Rule 10b5-1 trading plan dated 6/14/2012, as amended.
- (2) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.