Edgar Filing: CIENA CORP - Form 4

CIENA COL	RP										
Form 4											
March 17, 20	015										
FORM	14								OMB AF	PROVAL	
	UNITE	D STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check th									Expires:	January 31,	
subject to STATEMENT OF CHA				NGES IN BENEFICIAL OW				NERSHIP OF	•	2005 average	
Section 16. SECURITIES								Estimated average burden hours per			
Form 4 c									response 0.8		
Form 5 obligatio	-						-	e Act of 1934,			
may cont				•	•	· ·		f 1935 or Section	n		
See Instr		30(h)	of the In	vestment	Compan	y Ac	t of 194	10			
1(b).											
(Print or Type l	Responses)										
(I fint of Type)	(csponses)										
1. Name and A	Address of Reportin	ng Person *	2 Issue	· Name and	Ticker or	Tradii	na	5. Relationship of	Reporting Pers	son(s) to	
Dethenstein Devid M				2. Issuer Name and Ticker or Trading Symbol				Issuer			
CII			CIENA CORP [CIEN]								
								(Check all applicable)			
(Last)	(First)	(Middle)		f Earliest Tr	ansaction			Director	100/-	Owner	
C/O CIENA	A CORPORATI	ION 7035	(Month/D 03/16/2	•				Difector X Officer (give			
RIDGE RD		.010,7000	03/10/2	015				below)	below)	,	
								Sr. VP,	General Couns	sei	
(Street) 4. If An				Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
			Filed(Mor	nth/Day/Year)			Applicable Line) _X_ Form filed by C	na Paparting Pa	reon	
UANOVED	R, MD 21076-14	126						Form filed by M			
HANOVEN	, wid 21070-1-	+20						Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction D	ate 2A Deer	med	3.	4 Securi	ties A	cauired	5. Amount of	6. Ownership	7 Nature of	
Security	(Month/Day/Yea	on Date, if					Securities	Form: Direct			
(Instr. 3)		Code (Instr. 3, 4 and 5)				5)	Beneficially	(D) or	Beneficial		
		(Month/I	Day/Year)	(Instr. 8)				Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
								Reported	(1150. 4)	(1130. 4)	
						(A) or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common	03/16/2015			S	1,500	D	\$	261,994 ⁽²⁾	D		
Ctaals	05/10/2015			5	(1)	$\boldsymbol{\nu}$	20 66	201,774 <u>·</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Stock

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

20.66

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date		Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Rothenstein David M C/O CIENA CORPORATION 7035 RIDGE RD. HANOVER, MD 21076-1426			Sr. VP, General Counsel				
Signatures							
By: Erik Lichter For: David M. Rothenstein							
<u>**</u> Signature of Reporting Person			Date				
Explanation of Responses:							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales were effected pursuant to Rule 10b5-1 trading plan dated 1/15/2015.
- (2) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.