Edgar Filing: CIENA CORP - Form 4

CIENA CO	RP												
Form 4 June 24, 201	15												
FORM	ЛЛ	STATES	SFCU	DITIES		ND FX	СН	NCF C	OMMISSION		PROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287			
Check th if no lon	ger								Expires:	January 31, 2005			
subject t Section Form 4 of	16. SIAIE	MENT O	T OF CHANGES IN BENEFICIAL OWN SECURITIES						ERSHIP OF	Estimated a burden hour response	verage		
Form 5 obligation may con <i>See</i> Instr 1(b).	ons Section 170	(a) of the l	Public U	tility Ho	old	ing Co	mpar	•	Act of 1934, 1935 or Section)	I			
(Print or Type	Responses)												
Locoh-Donou Francois Symb				ssuer Name and Ticker or Trading ool NA CORP [CIEN]					5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Middle)	3. Date of Earliest Transaction (C				(Check	ck all applicable)					
				n/Day/Year)					Director 10% Owner X_ Officer (give title Other (specify below) below) SVP, Global Products Group				
	(Street)			endment, anth/Day/Y		-	al		6. Individual or Joi Applicable Line) _X_ Form filed by O	ne Reporting Pe	rson		
HANOVER	R, MD 21076-142	26							Form filed by Mo Person	ore than One Re	porung		
(City)	(State)	(Zip)	Tab	le I - Non	1-Do	erivative	Secu	rities Acqu	iired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common				Code V	V.	Amount	(D)	Price \$	(Inst. 5 and 4)				
Stock	06/22/2015			S	8	859 <u>(1)</u>	D	^ф 25.5201	188,329 <u>(2)</u>	D			
Common Stock	06/22/2015			S	(643 <u>(3)</u>	D	\$ 25.52	187,686 <u>(2)</u>	D			
Common Stock	06/22/2015			S	(957 <u>(4)</u>	D	\$ 25.52	186,729 <u>(2)</u>	D			
Common Stock	06/22/2015			S	ļ	950 <u>(5)</u>	D	\$ 25.52	185,779 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: CIENA CORP - Form 4

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivative Securities Acquired (A) or		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo
				Disposed						Trans
				of (D)						(Instr
				(Instr. 3,						
				4, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Locoh-Donou Francois C/O CIENA CORPORATION 7035 RIDGE RD. HANOVER, MD 21076-1426			SVP, Global Products Group					
Signatures								

By: Erik Lichter For: Francois Locoh-Donou

**Signature of Reporting Person

Date

06/23/2015

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related (1) to a restricted stock unit (RSU) award agreement dated 12/15/2011. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2011.
- (2) Shares reported include unvested Restricted Stock Units (RSUs).
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related (3) to a restricted stock unit (RSU) award agreement dated 12/17/2013. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2013.

(4)

Edgar Filing: CIENA CORP - Form 4

Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/17/2014. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2014.

Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
(5) to a restricted stock unit (RSU) award agreement dated 12/18/2012. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.