### Edgar Filing: CIENA CORP - Form 4

CIENA COF	RP										
Form 4											
September 2											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB AF	PPROVAL		
Check th	is hor		Was	shington,	D.C. 20	549			Number:	3235-0287	
if no long	an a			CECINI	DENIDE				Expires:	January 31 2005	
subject to Section 16. Form 4 or				SECUR		ICIA	LUWI	NEKSHIP OF	Estimated average burden hours per response		
Form 5 obligatio may cont <i>See</i> Instru 1(b).	inue. Section 17	7(a) of the	Public Ut		ling Con	npany	Act of	e Act of 1934, 71935 or Sectior 90	1		
(Print or Type I	Responses)										
			2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [CIEN]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Checl	k all applicable	e)		
. ,	CORPORATIO	. ,	(Month/D 09/21/20	ay/Year)				X Director X Officer (give below) PRES		Owner er (specify	
(Street) 4. If Ame				Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
HANOVER	., MD 21076-14	-26		nth/Day/Year	-			Applicable Line) _X_ Form filed by O Form filed by M Person	one Reporting Pe	rson	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)		
Common Stock	09/21/2015			Code V S	Amount 3,835 (1)	(D) D	Price \$ 22.06		D		
Common Stock	09/21/2015			S	3,059 (3)	D	\$ 22.06	552,197 <u>(2)</u>	D		
Common Stock	09/21/2015			S	2,882 (4)	D	\$ 22.06	549,315 <u>(2)</u>	D		
Common Stock	09/21/2015			S	3,832 (5)	D	\$ 22.06	545,483 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: CIENA CORP - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SMITH GARY B C/O CIENA CORPORATION 7035 RIDGE RD. HANOVER, MD 21076-1426	Х		PRESIDENT, CEO				
Signatures							
By: Erik Lichter For: Gary B. Smith	(	)9/22/2015					

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
  (1) to a restricted stock unit (RSU) award agreement dated 12/18/2012. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2012.
- (2) Shares reported include unvested Restricted Stock Units (RSUs).
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
  (3) to a restricted stock unit (RSU) award agreement dated 12/15/2011. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2011.

(4)

#### Edgar Filing: CIENA CORP - Form 4

Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/17/2013. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2013.

Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related
(5) to a restricted stock unit (RSU) award agreement dated 12/17/2014. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.