Smith Mark Clay Form 4 March 04, 2009

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 5 obligations may continue.

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response... 0.5

See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

Name and Address of Reporting Person *     Smith Mark Clay			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer				
			ADTRAN INC [ADTN]	(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					
200 CLINTON 805	N AVENUE	, SUITE	(Month/Day/Year) 03/02/2009	Director X 10% Owner Officer (give title below) Other (specify below)				
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check				
			Filed(Month/Day/Year)	Applicable Line)				

### **HUNTSVILLE, AL 35801**

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person

Form filed by More than One Reporting

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Approximately Disposed Constr. 3, 4 an	of (D) d 5)	red (A)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Common Stock, \$.01 par value	03/02/2009		J <u>(1)</u>	1,596,372		\$ 13.84	0 (2)	I	by LJS GRAT 2007-1 UAD 02/01/07 Mark Clay Smith		
Common Stock, \$.01 par	03/02/2009		<u>J(1)</u>	71,841	D	\$ 13.84	0 (2)	I	by LJS GRAT 2007-2		

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value								UAD 07/27/07 Mark Clay Smith Trustee
Common Stock, \$.01 par value	03/02/2009	J <u>(1)</u>	71,284	D	\$ 13.84	0 (2)	I	by LJS GRAT 2007-3 UAD 07/27/07 Mark Clay Smith Trustee
Common Stock, \$.01 par value	03/02/2009	J <u>(1)</u>	346,221	D	\$ 13.84	0 (2)	I	by LJS GRAT 2007-4 UAD 09/26/07 Mark Clay Smith Trustee
Common Stock, \$.01 par						95,155	D	
Common Stock, \$.01 par value						1,100,000 (2)	I	by LJS GRAT October 2008 UAD 10/07/08 Mark Clay Smith Trustee
Common stock, \$.01 par value						3,736,936 <u>(2)</u>	I	by LJS GRAT October 2008-2 UAD 10/23/08 Mark Clay Smith Trustee

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of	9
Derivativ	e Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	ate	Amou	nt of	Derivative	Ι
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	S
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	E
	Derivative				Securities	S		(Instr.	3 and 4)		(
	Security				Acquired						F
					(A) or						F
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
						_			or		
						Date Expiration Exercisable Date	Expiration		Number		
								of			
				Code V	(A) (D)				Shares		

## **Reporting Owners**

#### Relationships

Reporting Owner Name / Address 10% Officer Director Other Owner

Smith Mark Clay 200 CLINTON AVENUE, SUITE 805 **HUNTSVILLE, AL 35801** 

X

### **Signatures**

Reporting Person

Mark Clay 03/04/2009 Smith \*\*Signature of Date

**Explanation of Responses:** 

# If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Distribution of shares to the annuitant of the GRAT holding these securities, pursuant to the terms of the trust.
- The reporting person serves as trustee of the trust holding these securities. The reporting person disclaims beneficial ownership of these (2) securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3

9. Ni Deriv Secu Bene

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