Edgar Filing: TRIMAS CORP - Form 4

TRIMAS CORP Form 4 April 09, 2009Image: Check this box if no longer subject to Section 16. Form 4 orImage: Check this box if no longer subject to Section 16. Form 4 orTRIMAS CORP Form 4 orImage: Check this box if no longer subject to Section 16. Form 4 or								OMB AI OMB Number: Expires: Estimated a burden hou response	•		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type]	Responses)										
HEARTLAND INDUSTRIAL Symbol				d Ticker or '	Tradin	g	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Middle)	3. Date of	f Earliest T				(Check all applicable)				
177 BROAD STREET, 10TH(Month/D)177 BROAD STREET, 10TH04/07/20FLOOR04/07/20							Director _X_ 10% Owner Officer (give title Other (specify below)				
(Street) 4. If Amer Filed(Mont STAMFORD, CT 06901				ate Original r)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City)	(State) (Zip)	Tabl	e I - Non-I	Dorivativa (Socuri	tios A ca	Person uired, Disposed of	or Bonoficial	ly Owned		
1.Title of Security (Instr. 3)	(Month/Day/Year) Exe any	Transaction Date 2A. Deemed onth/Day/Year) Execution Date, if				equired l of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial		
C			Code V		(D)	Price	· · ·		See		
Common Stock	04/07/2009		Р	11,160 (2)	А	\$ 1.9 (1)	15,174,435 (5)	Ι	Footnote (2)		
Common Stock	04/07/2009		Р	240 <u>(3)</u>	А	\$ 1.9 (1)	15,174,675 (5)	I	See Footnote (3)		
Common Stock	04/07/2009		Р	600 <u>(4)</u>	А	\$ 1.9 (1)	15,175,275 (5)	I	See Footnote (4)		
Common Stock	04/08/2009		Р	11,160 (2)	А	\$ 1.87	15,186,435 (5)	Ι	See Footnote		

				(1)			(2)
Common Stock	04/08/2009	Р	240 <u>(3)</u> A	\$ 1.87 (1)	15,186,675 (5)	I	See Footnote (3)
Common Stock	04/08/2009	Р	600 <u>(4)</u> A	\$ 1.87 (1)	15,187,275 (5)	Ι	See Footnote (4)
Common Stock	04/09/2009	Р	11,160 (2) A	\$ 1.97 (1)	15,198,435 (5)	I	See Footnote (2)
Common Stock	04/09/2009	Р	240 <u>(3)</u> A	\$ 1.97 (1)	15,198,675 (5)	I	See Footnote (3)
Common Stock	04/09/2009	Р	600 <u>(4)</u> A	\$ 1.97 (1)	15,199,275 (5)	I	See Footnote (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HEARTLAND INDUSTRIAL ASSOCIATES LLC 177 BROAD STREET 10TH FLOOR STAMFORD, CT 06901

Signatures

/s/ Daniel P. Tredwell, Managing Member

04/09/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$1.84 to \$2.02, inclusive. The reporting person undertakes to provide to TriMas Corporation, any security holder of TriMas Corporation,

- (1) or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1)to this Form 4.
- (2) These securities are owned directly by TriMas Investment Fund I, LLC ("TIF I"). Heartland Industrial Associates, L.L.C. ("Heartland"), the Managing Member of TIF I, is an indirect beneficial owner of these securities.
- (3) These securities are owned directly by TriMas Investment Fund II, LLC ("TIF II"). Heartland, the Managing Member of TIF II, is an indirect beneficial owner of these securities.
- (4) These securities are owned directly by HIP Side-by-Side Partners, L.P.("HIP"). Heartland, the Managing Member of HIP, is an indirect beneficial owner of these securities.
- (5) Heartland, TIF I, TIF II and HIP may be deemed to be members of a group for purposes of Section 13(d) of the Securities Exchange Act of 1934.

Remarks:

Signature by Daniel P. Tredwell, as Managing Member of Heartland Industrial Associates, L.L.C., the Managing Member of T

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.