Goldmann Leslie Form 4 August 27, 2009

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

Goldmann Leslie			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			New York & Company, Inc. [NWY]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
450 W. 33RD STREET, 5TH FLOOR (Street) NEW YORK, NY 10001			(Month/Day/Year) 08/26/2009	Director 10% Owner _X_ Officer (give title Other (specification) below)  EVP, Merchandising			
			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
			Filed(Month/Day/Year)				
(City)	(State)	(Zip)	Table I. Non Dominatine Committee Acc	wind Discount of an Dan of sight Orange			

(City)	(State) (2	Zip) Table	I - Non-Do	erivative Se	ecurities Ac	quired, Disposed	of, or Beneficial	ly Owned
1.Title of	2. Transaction Date		3.		es Acquired		*	
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of		Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(D)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	and 5)	Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
					(4)	Reported		
					(A)	Transaction(s)		
			G 1 17		or	(Instr. 3 and 4)		
			Code V	Amount	(D) Price			
Common Stock	08/26/2009		D	39,555 <sub>(1)</sub>	D \$0	5,524 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

### Edgar Filing: Goldmann Leslie - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pric Deriva Securi (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options to Purchase Common Stock	\$ 7.18					(3)	05/21/2018	Common Stock	30,000	
Options to Purchase Common Stock	\$ 4.74					<u>(4)</u>	03/19/2018	Common Stock	70,000	
Options to Purchase Common Stock	\$ 3.28					(5)	03/21/2017	Common Stock	8,919	
Options to Purchase Common Stock	\$ 3.28					<u>(6)</u>	05/17/2016	Common Stock	18,308	
Options to Purchase Common Stock	\$ 3.28					<u>(6)</u>	03/15/2016	Common Stock	3,535	
Options to Purchase Common Stock	\$ 0.11					<u>(7)</u>	04/02/2013	Common Stock	20,787	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Reporting Owners

### Edgar Filing: Goldmann Leslie - Form 4

Director 10% Owner Officer Other

Goldmann Leslie 450 W. 33RD STREET 5TH FLOOR NEW YORK, NY 10001

EVP, Merchandising

## **Signatures**

Linda Gormezano, attorney in fact /s/ Linda Gormezano

08/27/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On November 19, 2008, the Reporting Person was granted 39,555 shares of restricted stock, subject to performance vesting
- (1) requirements based on the operating income level achieved by the Company for the first two fiscal quarters of fiscal year 2009 ("Spring 2009"). As a result of the operating loss reported by the Company for Spring 2009, all 39,555 shares of restricted stock were forfeited.
- (2) Represents 5,524 shares of common stock.
- (3) The options become exercisable in four annual installments on the following dates: 7,500 shares on May 21, 2009; 7,500 shares on May 21, 2010; 7,500 shares on May 21, 2011; and 7,500 shares on May 21, 2012.
- (4) The options become exercisable in four annual installments on the following dates: 17,500 shares on March 19, 2009; 17,500 shares on March 19, 2010; 17,500 shares on March 19, 2011; and 17,500 shares on March 19, 2012.
- (5) The options become exercisable on the following dates: 6,689 shares on June 29, 2011 and 2,230 shares on March 21, 2012.
- (6) The options become exercisable on June 29, 2011.
- (7) The options to purchase common stock are currently exercisable.

#### **Remarks:**

Except as outlined above, the filing of this Form shall not be deemed an admission that the Reporting Person is the beneficial of Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3