#### **CAPSTONE TURBINE Corp**

Form 4

Common

Common

Stock

Stock

12/01/2011

December 05, 2011

FORM 4 UNITED STATES SECURITIES AND EVCHANCE COMMISSION								OMB APPROVAL			
Washington, D.C. 20549								3235-0287			
Check this box if no longer  CTA THEN CENT OF CHANGES IN DEPUEE CHANGES IN DEPUE C						Expires:	January 31, 2005				
subject to Section 1 Form 4 or	subject to Section 16. Form 4 or  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated burden houresponse	average ırs per			
Form 5 obligations may continue.  See Instruction 1(b).  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	Responses)										
1. Name and A JAGGERS J	ddress of Reporting P OHN V	Symbol	TONE TU	I Ticker or Tradii	ng	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)					
	(First) (M L ROAD, SUITE NOEL ROAD, S	iddle) 3. Date (Month 12/01/	of Earliest Ti /Day/Year)	ransaction		_X_ Director 10% Owner Other (specify below)					
	(Street)		nendment, Da onth/Day/Year	_		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
DALLAS, T	X 75240					Form filed by Person	More than One R	eporting			
(City)	(State) (Z	Zip) Ta	ble I - Non-D	Derivative Secur	rities Acq	quired, Disposed o	of, or Beneficia	lly Owned			
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution		Code r) (Instr. 8)	(A) or	D) d 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

\$ 1

7,875

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

329,174

9,744

D

Ι

See

(2)

Footnote

#### Edgar Filing: CAPSTONE TURBINE Corp - Form 4

number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative Securities				Securi	ities	(Instr. 5)	Bene
	Derivative							(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date Expiration Exercisable Date	Expiration	or Title Numbe	Number		
							of				
				Code V	(A) (D)				Shares		
				Code V	(A) $(D)$				Shares		

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

JAGGERS JOHN V 13455 NOEL ROAD, SUITE 1670 13455 NOEL ROAD, SUITE 1670 DALLAS, TX 75240



## **Signatures**

John V. Jaggers 12/05/2011

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Capstone shares received in lieu of quarterly Director fees.

Total Common shares of 9,744 represents 16 of such common shares held by SRB Associates VII L.P. ("SRB VII") and 9,728 of such common shares held by Sevin Rosen VII Affiliates Fund L.P. ("SRVII AFF"). John Jaggers ("Jaggers") is a general partner of SRB VII, and a general partner of the general partner of SRVII AFF. Jaggers disclaims beneficial ownership of these shares except to his proportionate partnership interest in these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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