Edgar Filing: MIDDLEBY CORP - Form 4

| MIDDLEB Form 4 June 17, 20 | | | | | | | | | | | |
|---|------------|---|-----|---|---|------------------------------|---------------------|--|---|---|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | | OMB APPROVAL | | |
| Washington, D.C. 20549 | | | | | | | OMMISSION | OMB Number: | 3235-0287 | | |
| Check the check | aar | | | | | | | | | January 31, 2005 | |
| if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | verage | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| BASSOUL SELIM A Symbol | | | | er Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | te of Earliest Transaction | | | | (Check all applicable) | | | |
| C/O THE MIDDLEBY 06/13/24 CORPORATION, 1400 TOASTMASTER DRIVE | | | | Day/Year) | | | | X Director 10% Owner X Officer (give title Other (specify below) below) CEO, Chairman, President | | | |
| Filed(Mon | | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| ELGIN, IL 60120 Form hied by More than One Reporting Person | | | | | | | | | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-D | erivative | Secu | rities Acqu | ired, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | | ansaction Date 2A. Deemed th/Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Securi n(A) or Di (Instr. 3, Amount | ispose 4 and (A) or | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common | 06/13/2013 | | | M(2) | 3,991 | А | \$ 9.235 | 308,877 | D | | |
| Stock | | | | | | | ¢ | | | | |
| Common Stock | 06/13/2013 | | | S <u>(1)</u> | 3,991 | D | \$ 166.88 (3) | 304,886 | D | | |
| Common Stock | 06/14/2013 | | | M <u>(2)</u> | 600 | А | | 305,486 | D | | |
| Common Stock | 06/14/2013 | | | S <u>(1)</u> | 600 | D | \$ 166.77 (3) | 304,886 | D | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | Transaction Derivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|-------|--|--------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 9.235 | 06/13/2013 | | M <u>(2)</u> | | 3,991 | 10/23/2003 | 10/23/2013 | Common Stock | 3,991 |
| Stock Option (Right to Buy) | \$ 9.235 | 06/14/2013 | | M <u>(2)</u> | | 600 | 10/23/2003 | 10/23/2013 | Common Stock | 600 |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|--|------------|---------------|---------|--------------------------------|--|--|--|--|
| r o o | Director | 10% Owner | Officer | Other | | | | |
| BASSOUL SELIM A C/O THE MIDDLEBY CORPORATION 1400 TOASTMASTER DRIVE ELGIN, IL 60120 | | X | | CEO, Chairman, President | | | | |
| Signatures | | | | | | | | |
| Martin M. Lindsay POA | 06/17/2013 | | | | | | | |
| **Signature of Reporting | Date | | | | | | | |

Person

8 I S ()

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to existing 10b5-1 plan.
- (2) Exercise of vested stock options granted on October 23, 2003 at a split adjusted exercise price of \$9.235. The option grant was 100% vested on the date of grant.

The sales price reported is the weighted average sale price for the number of shares sold. Full information regarding the number of shares (3) sold at each separate price will be supplied upon request by the Securities & Exchange Commission staff, the Issuer or a security holder

of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.