New York & Company, Inc. Form 4

July 01, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

| 1. Name and Address of Reporting Person * Toal Sheamus |                  |               | Symbol                         |             | Ticker or Trading                            | 5. Relationship of Reporting Person(s) to Issuer |                      |             |  |
|--|------------------|---------------|--------------------------------|-------------|--|--|----------------------|-------------|--|
|  |                  |               | New Yo                         | ork & Coi   | npany, Inc. [NWY]                            | (Check all applicable)                           |                      |             |  |
| (Last)   | (First)          | (Middle)      | 3. Date of                     | Earliest Tr | ransaction                                   |  |                      |             |  |
|  |                  |               | (Month/D                       | ay/Year)    |  | Director   |                      | Owner       |  |
| 450 W. 33R   | D STREET, 57     | ГН            | 06/27/20                       | 013         |  | _X_ Officer (giv                                 |                      | er (specify |  |
| FLOOR  |                  |               |                                |             |  | below)   | below)<br>VP and CFO |             |  |
|  | (0, 1)           |               |                                |             |  |  |                      |             |  |
| (Street)   |                  |               | 4. If Amendment, Date Original |             |  | 6. Individual or Joint/Group Filing(Check        |                      |             |  |
|  |                  |               | Filed(Mon                      | th/Day/Year | )  | Applicable Line)                                 |                      |             |  |
|  |                  |               |                                |             |  | _X_ Form filed by One Reporting Person           |                      |             |  |
| NEW YORK, NY 10001                                     |                  |               |                                |             | Form filed by More than One Reporting Person |  |                      |             |  |
| (City)   | (State)          | (Zip)         |                                |             |  |  |                      |             |  |
| (City)   | (State)          | (Zip)         | Tabl                           | e I - Non-D | Perivative Securities Acq                    | uired, Disposed o                                | f, or Beneficial     | lly Owned   |  |
| 1.Title of   | 2. Transaction D | ate 2A. Dee   | emed                           | 3.          | 4. Securities Acquired                       | 5. Amount of                                     | 6. Ownership         | 7. Nature   |  |
| Security   | (Month/Day/Yea   | ar) Execution | on Date, if                    | Transaction | on(A) or Disposed of (D)                     | Securities                                       | Form: Direct         | Indirect    |  |
| (Instr. 3)   |                  | any           |                                | Code        | (Instr. 3, 4 and 5)                          | Beneficially                                     | (D) or               | Beneficia   |  |
|  |                  | (Month/       | /Day/Year)                     | (Instr. 8)  |  | Owned  | Indirect (I)         | Ownershi    |  |

| (,)                                  | (3.1.1.2)                               | 1 adi   | e 1 - Non-D   | erivative S | securi           | nes Acq  | uirea, Disposea o  | i, or Beneficial                                      | ly Ownea |
|--------------------------------------|---|---|---|-------------|------------------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |             |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |          |
|                                      |   |   | Code V  | Amount      | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |   |          |
| Common<br>Stock                      | 06/27/2013                              |   | M   | 16,477      | A                | \$<br>3.28   | 128,473  | D   |          |
| Common<br>Stock                      | 06/27/2013                              |   | S   | 16,477      | D                | \$<br>6.23   | 111,996  | D   |          |
| Common<br>Stock                      | 06/27/2013                              |   | M   | 8,053       | A                | \$<br>3.28   | 120,049  | D   |          |
| Common<br>Stock                      | 06/27/2013                              |   | S   | 8,053       | D                | \$<br>6.23   | 111,996  | D   |          |
| Common<br>Stock                      | 06/27/2013                              |   | M   | 917         | A                | \$<br>3.28   | 112,913  | D   |          |

**OMB APPROVAL** 

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| Common<br>Stock | 06/27/2013 | S | 917    | D | \$<br>6.25 | 111,996     | D |
|-----------------|------------|---|--------|---|------------|-------------|---|
| Common<br>Stock | 06/28/2013 | M | 13,224 | A | \$<br>3.28 | 125,220     | D |
| Common<br>Stock | 06/28/2013 | S | 13,224 | D | \$<br>6.27 | 111,996 (1) | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                                     |
|---|---|---|---|---|-----|--|---------------------|---|-----------------|-------------------------------------|
|   |   |   |   | Code V  | (A) | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of Shares |
| Options<br>to<br>Purchase<br>Common<br>Stock        | \$ 3.28   | 06/27/2013                              |   | M   |     | 16,477   | (2)                 | 04/04/2015  | Common<br>Stock | 16,477                              |
| Options<br>to<br>Purchase<br>Common<br>Stock        | \$ 3.28   | 06/27/2013                              |   | M   |     | 8,053  | (2)                 | 10/06/2014  | Common<br>Stock | 8,053                               |
| Options<br>to<br>Purchase<br>Common<br>Stock        | \$ 3.28   | 06/27/2013                              |   | M   |     | 917  | (2)                 | 03/15/2016  | Common<br>Stock | 917                                 |
| Options<br>to<br>Purchase<br>Common<br>Stock        | \$ 3.28   | 06/28/2013                              |   | M   |     | 13,224   | (2)                 | 03/15/2016  | Common<br>Stock | 13,224                              |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**Toal Sheamus** 

450 W. 33RD STREET

5TH FLOOR

NEW YORK, NY 10001

EVP and CFO

## **Signatures**

Linda Gormezano, attorney in fact /s/ Linda

Gormezano 07/01/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 47,272 shares of common stock; 14,612 vested deferred stock units; 7,192 deferred stock units which vest on August 14, 2015;
- (1) 7,420 deferred stock units which vest on March 19, 2016; 15,500 shares of restricted stock which vest on April 15, 2014; and 20,000 shares of restricted stock which vest on April 16, 2015.
- (2) These options became exercisable on June 29, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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