New York & Company, Inc. Form 4/A

# October 11, 2013 **FORM 4**

#### OMB APPROVAL

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

response... 0.5

burden hours per

1(b).

(Print or Type Responses)

			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
		New York & Company, Inc. [NWY]				VYJ	(Check all applicable)			
(Last)	(First)			Earliest Tra	ansaction					
450 WEST	33RD STREET,		(Month/D 08/26/20	-				X Director X Officer (give below) Chief		Owner er (specify er
	(Street)		4. If Amer	ndment, Dat	te Original			6. Individual or Jo	oint/Group Filin	g(Check
F			Filed(Month/Day/Year)					Applicable Line)		
NEW YORK, NY 10001				08/28/2013				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative Se	curitie	es Acqu	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		Date, if	3. Transactio Code (Instr. 8)	4. Securitie n(A) or Disp (Instr. 3, 4	osed of and 5)	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common				Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock (1)	08/26/2013			A	100,000 (2)	A	\$0	400,000 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: New York & Company, Inc. - Form 4/A

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number of		<ol><li>Date Exer</li></ol>	6. Date Exercisable and		7. Title and Amount	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration D	Expiration Date		Underlying Securiti	
Security	or Exercise		any	Code Securities		(Month/Day	(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) o	r				
	Derivative				Disposed of (D	)				
	Security				(Instr. 3, 4, and					
	Ĭ				5)					
						Date	Expiration	Title	Amou Numb	
				Code V	(A) (D	) Exercisable	Date		Share	
Performance	\$ 0	08/26/2013		A	100,000	(4)	08/26/2023	Common	100,	
Units (1)								Stock		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Plante / Plantess	Director	10% Owner	Officer	Other			
SCOTT GREGORY J 450 WEST 33RD STREET 5TH FLOOR NEW YORK, NY 10001	X		Chief Executive Officer				

### **Signatures**

Linda Gormezano, attorney-in-fact /s/ Linda
Gormezano
10/11/2013

Date

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This is an amended filing to a Form 4 filed on August 28, 2013, which reported a performance-based award granted to the reporting person in Table I as opposed to Table II where it is now properly reported.
- As previously reported, on August 26, 2013, the reporting person was awarded 100,000 shares of restricted stock which vest on August 26, 2016.
- Includes 100,000 shares of restricted stock which vest on August 26, 2016; 100,000 shares of restricted stock which vest on April 16, 2015; and 200,000 shares of performance-based restricted stock which vest on the third anniversary of the grant date (February 15, 2011), subject to the Company achieving operating income within minimum, target and maximum operating income levels for the three fiscal years ending February 1, 2014.
- On August 26, 2013, the reporting person was awarded 100,000 performance units which vest ratably over a three-year period subject to the reporting person's continued employment and the Company achieving operating income for fiscal year 2013 within the approved minimum and target operating income levels. Each performance unit is convertible into one share of the Company's common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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