

LIQUIDITY SERVICES INC
Form 3
February 14, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â DOMINO KATHRYN ANN</p> <p>(Last) (First) (Middle)</p> <p>C/O LIQUIDITY SERVICES, INC., Â 1920 L STREET, N.W., 6TH FLOOR</p> <p>(Street)</p> <p>WASHINGTON, Â DC Â 20036</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>02/05/2014</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>LIQUIDITY SERVICES INC [LQDT]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Chief Accounting Officer</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	2,160	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option	Â <u>(1)</u>	10/05/2017	Common Stock	4,757	\$ 13.48	D	Â
Employee Stock Option	Â <u>(2)</u>	12/29/2018	Common Stock	5,417	\$ 7.48	D	Â
Employee Stock Option	Â <u>(3)</u>	12/01/2019	Common Stock	5,626	\$ 9.05	D	Â
Employee Stock Grant	Â <u>(4)</u>	11/30/2020	Common Stock	1,000	\$ 15.47	D	Â
Employee Stock Grant	Â <u>(5)</u>	11/30/2020	Common Stock	2,765	\$ 15.47	D	Â
Employee Stock Option	Â <u>(6)</u>	11/30/2020	Common Stock	3,802	\$ 15.47	D	Â
Employee Stock Option	Â <u>(7)</u>	11/30/2020	Common Stock	1,198	\$ 15.47	D	Â
Employee Stock Grant	Â <u>(8)</u>	10/01/2021	Common Stock	1,533	\$ 31.37	D	Â
Employee Stock Option	Â <u>(9)</u>	11/22/2021	Common Stock	4,560	\$ 31.37	D	Â
Employee Stock Option	Â <u>(10)</u>	11/22/2021	Common Stock	750	\$ 31.37	D	Â
Employee Stock Grant	Â <u>(11)</u>	11/16/2022	Common Stock	1,518	\$ 38.09	D	Â
Employee Stock Grant	Â <u>(12)</u>	11/16/2022	Common Stock	2,024	\$ 38.09	D	Â
Employee Stock Grant	Â <u>(13)</u>	11/27/2023	Common Stock	3,500	\$ 21.99	D	Â
Employee Stock Grant	Â <u>(14)</u>	11/27/2023	Common Stock	3,500	\$ 21.99	D	Â
Employee Stock Option	Â <u>(15)</u>	11/27/2023	Common Stock	3,519	\$ 21.99	D	Â
Employee Stock Option	Â <u>(16)</u>	11/27/2023	Common Stock	3,519	\$ 21.99	D	Â

Reporting Owners

Reporting Owner Name / Address

Relationships

Director	10% Owner	Officer	Other
Â	Â	Â Chief Accounting Officer	Â

DOMINO KATHRYN ANN
C/O LIQUIDITY SERVICES, INC.
1920 L STREET, N.W., 6TH FLOOR
WASHINGTON, DC 20036

Signatures

/s/ James E. Williams, by power of
attorney

02/14/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options became fully vested on October 1, 2011.
- (2) These options became fully vested on October 1, 2012.
- (3) These options became fully vested on October 1, 2013.
- (4) Twenty-five percent of this restricted stock grant vested on October 1, 2011 and thereafter 1/4th of the restricted stock grant will vest on October 1 of each year for three years.
- (5) These restricted shares will vest, if at all, based on the Issuer's achievement of certain financial milestones.
- (6) Twenty-five percent of this option grant vested on October 1, 2011 and thereafter 1/48th of the option grant will vest each month for thirty-six months.
- (7) These options became fully vested on December 1, 2011.
- (8) Twenty-five percent of this restricted stock grant vested on October 1, 2012 and thereafter 1/4th of the restricted stock grant will vest on October 1 of each year for three years.
- (9) Twenty-five percent of this option grant vested on October 1, 2012 and thereafter 1/48th of the option grant will vest each month for thirty-six months.
- (10) These options became fully vested on December 1, 2012.
- (11) Twenty-five percent of this restricted stock grant vested on October 1, 2013 and thereafter 1/4th of the restricted stock grant will vest on October 1 of each year for three years.
- (12) These restricted shares will vest, if at all, based on the Issuer's achievement of certain financial milestones.
- (13) Twenty-five percent of this restricted stock grant vested on October 1, 2014 and thereafter 1/4th of the restricted stock grant will vest on October 1 of each year for three years.
- (14) These restricted shares will vest, if at all, based on the Issuer's achievement of certain financial milestones.
- (15) Twenty-five percent of this option grant vested on October 1, 2014 and thereafter 1/48th of the option grant will vest each month for thirty-six months.
- (16) This option becomes exercisable, if at all, based on the Issuer's achievement of certain financial milestones.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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