

EAST WEST BANCORP INC

Form 4

March 11, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
NG DOMINIC

(Last) (First) (Middle)

EAST WEST BANK, 135 N. LOS  
ROBLES AVE. 7TH FLOOR

(Street)

PASADENA, CA 91101

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
EAST WEST BANCORP INC  
[EWBC]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/07/2014

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below) Chief Executive Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/07/2014		M		9,736	A	\$ 0	448,202	D	
Common Stock	03/07/2014		F		3,440	D	\$ 36.85	444,762	D	
Common Stock	03/07/2014		M		35,699	A	\$ 0	480,461	D	
Common Stock	03/07/2014		F		15,523	D	\$ 36.85	464,938	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Ratable-Vesting Restricted Stock Units	<u>(1)</u>	03/07/2014		M	9,736	<u>(1)</u> <u>(1)</u>	Common Stock
Cliff-Vesting Restricted Stock Units	<u>(2)</u>	03/07/2014		M	35,699	<u>(2)</u> <u>(2)</u>	Common Stock
Performance-Based Restricted Stock Units	<u>(3)</u>	03/07/2014		A	176,390	<u>(3)</u> <u>(3)</u>	Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
NG DOMINIC EAST WEST BANK 135 N. LOS ROBLES AVE. 7TH FLOOR PASADENA, CA 91101	X Chief Executive Officer

## Signatures

Douglas P. Krause,  
Attorney-in-Fact 03/11/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted Stock Units vest in three annual installments beginning March 7, 2012. On the settlement date, for each vested RSU, the reporting person received one share of Common Stock. Vesting was also subject to meeting pre-established earning goals.
- (2) Performance Based Restricted Stock Units vest in 3 years. Number of vested units was based on meeting performance criteria. 35,699 units were for the performance maximum if pre-established performance goals are met. On the settlement date, for each vested RSU, the

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reporting person received one share of Common Stock.

Performance Based Restricted Stock Units vest in 3 years. Number of units that will vest depends on meeting performance criteria.

- (3) 176,390 units are for the performance maximum if pre-established performance goals are met. On the settlement date, for each vested RSU, the reporting person will receive one share of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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