

AECOM TECHNOLOGY CORP

Form 4

July 03, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
NEWMAN RICHARD G

(Last) (First) (Middle)

C/O AECOM TECHNOLOGY  
CORPORATION, 555 S. FLOWER  
STREET, SUITE 3700

(Street)

LOS ANGELES, CA 90071

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
AECOM TECHNOLOGY CORP  
[ACM]

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/01/2014

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            |                      | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------|----------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) | Price                |                                                                                               |                                                          |                                                       |
| Common Stock                    | 07/01/2014                           |                                                    | S <u>(1)</u>                   |                                                                   | 20,160 | D          | \$ 32.401 <u>(2)</u> | 0                                                                                             | I                                                        | by RGN 2012 Spousal Trust                             |
| Common Stock                    |                                      |                                                    |                                |                                                                   |        |            |                      | 332,981                                                                                       | I                                                        | by R&C Newman Revocable Trust                         |
| Common Stock                    |                                      |                                                    |                                |                                                                   |        |            |                      | 14,931                                                                                        | I                                                        | by C&R Newman                                         |

|                 |                       |   |                                                                                                                        |
|-----------------|-----------------------|---|------------------------------------------------------------------------------------------------------------------------|
| Common<br>Stock | 39,145                | I | Family<br>Foundation<br><br>by Richard<br>G Newman<br>TTEE U/A<br>DTD<br>8/15/2011<br>Richard G<br>Newman<br>2011 GRAT |
| Common<br>Stock | 39,145                | I | by Christine<br>H Newman<br>TTEE U/A<br>DTD<br>8/15/2011<br>Christine H<br>Newman<br>2011 GRAT                         |
| Common<br>Stock | 15,636 <sup>(3)</sup> | I | by John E.<br>Newman<br>(son)                                                                                          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. F<br>Der<br>Sec<br>(Ins |                                     |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|----------------------------|-------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                  | Title                      | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option                         | \$ 23.94                                                           |                                         |                                                             |                                      |                                                                                                                    | (4)                                                            | 12/01/2015                                                          | Common<br>Stock            | 49,141                              |

|                             |          |            |            |                 |        |
|-----------------------------|----------|------------|------------|-----------------|--------|
| Employee<br>Stock<br>Option | \$ 24.45 | (5)        | 12/02/2016 | Common<br>Stock | 15,989 |
| Employee<br>Stock<br>Option | \$ 25.38 | 03/31/2011 | 05/28/2017 | Common<br>Stock | 14,558 |
| Employee<br>Stock<br>Option | \$ 28.44 | 03/03/2012 | 03/03/2018 | Common<br>Stock | 13,416 |
| Restricted<br>Stock Unit    | (6)      | (7)        | (7)        | Common<br>Stock | 4,112  |

## Reporting Owners

| Reporting Owner Name / Address                                                                                    | Relationships |              |         |       |
|-------------------------------------------------------------------------------------------------------------------|---------------|--------------|---------|-------|
|                                                                                                                   | Director      | 10%<br>Owner | Officer | Other |
| NEWMAN RICHARD G<br>C/O AECOM TECHNOLOGY CORPORATION<br>555 S. FLOWER STREET, SUITE 3700<br>LOS ANGELES, CA 90071 | X             |              |         |       |

## Signatures

/s/ David Y. Gan, Attorney-in-Fact for Richard G.  
Newman

07/03/2014

\_\_\_\_ Signature of Reporting Person

\_\_\_\_ Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales in this Form 4 were made pursuant to a 10b5-1 trading plan adopted on December 13, 2013.

This transaction was executed in multiple trades at prices ranging from \$32.20 to \$32.60. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(3) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

(4) The option vested in three equal annual installments beginning on December 1, 2009.

(5) The option vested in three equal annual installments beginning on December 2, 2010.

(6) Each restricted stock unit represents a contingent right to receive one share of AECOM common stock.

(7) The restricted stock units vest on March 5, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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