Willdan Group, Inc. Form 4/A November 15, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

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obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* **Brisbin Thomas Donald** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Middle)

Willdan Group, Inc. [WLDN] 3. Date of Earliest Transaction

X\_ Director 10% Owner X\_ Officer (give title \_X\_ Other (specify

2401 EAST KATELLA **AVENUE, SUITE 300** 

(Month/Day/Year) 11/03/2016

below) below) Chief Executive Officer / Chairman of the

(Check all applicable)

**Board** 

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year) 11/04/2016

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

ANAHEIM, CA 92806

(State) (Zip) (City) 1.Title of 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Security (Instr. 3) (Month/Day/Year) Execution Date, if

(Month/Day/Year)

Code

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(A) or Code V Amount (D) Price

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

4.

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative

number.

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

### Edgar Filing: Willdan Group, Inc. - Form 4/A

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8	Securities Acquired ( Disposed o (Instr. 3, 4 5)	of (D)	<b>D</b> )		(Instr. 3 and 4)	
				Code V	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 16.27	11/03/2016		A	100,000		<u>(1)</u>	11/03/2026	Common Stock	100,000

Relationships

# **Reporting Owners**

Reporting Owner Name / Address	Director	10% Owner	Officer	Other
Brisbin Thomas Donald 2401 EAST KATELLA AVENUE SUITE 300 ANAHEIM CA 92806	X		Chief Executive Officer	Chairman of the Board

## **Signatures**

/s/ Stacy McLaughlin Attorney-in-Fact for Thomas D.
Brisbin

11/15/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option becomes exercisable in three equal installments on each of November 2, 2017, November 2, 2018 and November 2, 2019.

### **Remarks:**

This amended Form 4 is filed to accurately report the conversion price of the derivative security, transaction date and expiration. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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