### Edgar Filing: Zumiez Inc - Form 4

| Zumiez Inc<br>Form 4<br>March 23, 20                                       | 017                          |   |                                 |            |  |   |                        |  |  |
|--|------------------------------|---|---------------------------------|------------|--|---|------------------------|--|--|
| FORM   | 14                           |   |                                 |            |  |   | PPROVAL                |  |  |
|  | UNITED STAT                  | ES SECURITIES A<br>Washington                         | AND EXCHA<br>, D.C. 20549       | ANGE (     | COMMISSION                                       | OMB<br>Number:                                | 3235-0287              |  |  |
| Check th<br>if no long   | Jer.                         |   |                                 |            |  | Expires:                                      | January 31,<br>2005    |  |  |
| subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O                  |                              |   |                                 |            |  | Estimated a                                   |                        |  |  |
| Section 1<br>Form 4 c  |                              |   |                                 |            |  | burden hou                                    | •                      |  |  |
| Form 5   |                              | to Section 16(a) of the                               | ne Securities I                 | Exchang    | ge Act of 1934,                                  | response                                      | 0.5                    |  |  |
| obligatio  | ns Section $17(a)$ of t      | he Public Utility Ho                                  |                                 | -          |  | n   |                        |  |  |
| <i>See</i> Instruction 1(a) of the Investment Company Act of 1940<br>1(b). |                              |   |                                 |            |  |   |                        |  |  |
| (Print or Type l   | Responses)                   |   |                                 |            |  |   |                        |  |  |
|  |                              |   |                                 |            |  |   |                        |  |  |
| Viscon Chris V   |                              |   |                                 | •          | of Reporting Person(s) to                        |   |                        |  |  |
|  | δ К.                         |   | Symbol<br>Zumiez Inc [ZUMZ]     |            |  |   |                        |  |  |
| (Least)  | (Einst) (Middle)             |   | 3. Date of Earliest Transaction |            |  | (Check all applicable)                        |                        |  |  |
| (Last)   | (First) (Middle)             | (Month/Day/Year)                                      | ransaction                      |            | Director   | 10%   | Owner                  |  |  |
| 4001 204TH STREET SW   |                              | 03/13/2017  | -                               |            |  | _X_ Officer (give title Other (specify below) |                        |  |  |
|  |                              |   |                                 |            | /  | eral Counsel &                                | z Sec.                 |  |  |
|  | (Street)                     | 4. If Amendment, D                                    | ate Original                    |            | 6. Individual or Jo                              | oint/Group Filin                              | 1g(Check               |  |  |
|  |                              | Filed(Month/Day/Yea                                   | Filed(Month/Day/Year)           |            |  | Applicable Line)                              |                        |  |  |
| LYNNWOO  | DD, WA 98036                 |   |                                 |            | _X_ Form filed by 0<br>Form filed by N<br>Person | One Reporting Pe<br>fore than One Re          |                        |  |  |
| (City)   | (State) (Zip)                | Table I - Non-  | Derivative Secu                 | rities Ac  | quired, Disposed of                              | f, or Beneficial                              | lly Owned              |  |  |
| 1.Title of   | 2. Transaction Date 2A.      |   |                                 |            | 5. Amount of                                     | 6. Ownership                                  |                        |  |  |
| Security<br>(Instr. 3)   | (Month/Day/Year) Exec<br>any | ution Date, if Transact<br>Code                       | ion(A) or Dispos<br>(D)         | ed of      | Securities<br>Beneficially                       | Form: Direct (D) or                           | Indirect<br>Beneficial |  |  |
| . ,  |                              | (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned |                                 | Owned      | Indirect (I)                                     | Ownership                                     |                        |  |  |
|  |                              |   |                                 |            | Following<br>Reported                            | (Instr. 4)                                    | (Instr. 4)             |  |  |
|  |                              |   | (A)<br>or                       |            | Transaction(s)                                   |   |                        |  |  |
|  |                              | Code  | Amount (D)                      | ) Price    | (Instr. 3 and 4)                                 |   |                        |  |  |
| Common<br>Stock  | 03/13/2017                   | А   | $\frac{6,129}{(1)}$ A           | \$<br>17.7 | 14,534 <u>(2)</u>                                | D   |                        |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | Expiration Da<br>(Month/Day/Y | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                 | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|--|-------------------------------|--|-----------------|---|--|
|   |   |   |   | Code V                                | (A) (I   | Date<br>Exercisable           | Expiration<br>Date   | Title           | Amount<br>or<br>Number<br>of Shares                                 |  |
| Options<br>(right to<br>buy)                        | \$ 17.7   | 03/13/2017                              |   | А                                     | 12,287<br>(3)  | 03/13/2018                    | 03/13/2027   | Common<br>Stock | 12,287  |  |

## **Reporting Owners**

| Reporting Owner Name / Address                                | Relationships |           |                                      |       |  |  |  |
|---|---------------|-----------|--------------------------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer                              | Other |  |  |  |
| Visser Chris K.<br>4001 204TH STREET SW<br>LYNNWOOD, WA 98036 |               |           | EVP,<br>General<br>Counsel &<br>Sec. |       |  |  |  |

# Signatures

| Chris K. Visser 03 | 3/23/2017 |
|--------------------|-----------|
|--------------------|-----------|

<u>\*\*</u>Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares acquired represent a grant of restricted stock that will vest over 3 years.
- (2) The share total includes 3,765 shares acquired through Zumiez Inc. Employee Stock Purchase Plan.
- (3) Options subject to this grant vest over a 4-year period in equal installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.