Sexton John P Form 4 February 21, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sexton John P

(First) (Middle)

C/O CBOE GLOBAL MARKETS, INC., 400 SOUTH LASALLE

**STREET** 

(Last)

(Street)

2. Issuer Name and Ticker or Trading Symbol

Cboe Global Markets, Inc. [CBOE]

3. Date of Earliest Transaction (Month/Day/Year)

02/19/2019

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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Number:

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response...

Estimated average

burden hours per

Director 10% Owner Other (specify \_X\_\_ Officer (give title

EVP, GC and Corp Sec

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CHICAGO, IL 60605
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(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	02/19/2019		M	920	A	\$ 94.16	15,833	D	
Common Stock	02/19/2019		M	707	A	\$ 94.16	16,540	D	
Common Stock	02/19/2019		M	707	A	\$ 94.16	17,247	D	
Common Stock	02/19/2019		M	553	A	\$ 94.16	17,800	D	
Common Stock	02/19/2019		F	285	D	\$ 94.16	17,515	D	

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Common Stock	02/19/2019	F	206	D	\$ 94.16	17,309	D
Common Stock	02/19/2019	F	208	D	\$ 94.16	17,101	D
Common Stock	02/19/2019	F	192	D	\$ 94.16	16,909	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	FransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	02/19/2019		M		920	02/19/2019	(2)	Common Stock	920
Restricted Stock Units	(1)	02/19/2019		M		707	02/19/2019	(3)	Common Stock	707
Restricted Stock Units	(1)	02/19/2019		M		707	02/19/2019	(3)	Common Stock	707
Restricted Stock Units	(1)	02/19/2019		M		553	02/19/2019	<u>(4)</u>	Common Stock	553
Restricted Stock Units	<u>(1)</u>	02/19/2019		A	3,026		<u>(5)</u>	<u>(5)</u>	Common Stock	3,026

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sexton John P C/O CBOE GLOBAL MARKETS, INC. 400 SOUTH LASALLE STREET CHICAGO, IL 60605

EVP, GC and Corp Sec

## **Signatures**

/s/ Patrick Sexton 02/21/2019

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Cboe Global Markets, Inc. common stock.
- (2) The restricted stock units vest in three equal annual installments, which began on February 19, 2017.
- (3) The restricted stock units vest in three equal annual installments, which began on February 19, 2018.
- (4) The restricted stock units vest in three equal annual installments, which began on February 19, 2019.
- (5) The restricted stock units vest in three equal annual installments beginning on February 19, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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