WHYNOT JEFFREY D Form 4

February 21, 2003

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. 0.5

1. Name and Address of Reporting Person* 2. Issuer Name 4. Statement for Whynot, Jeffrey D. and Ticker or Trading (Month/Day/Year Symbol 02/19/2003 (Last) (First) Smart & Final Inc. (Middle) SMF 600 Citadel Drive 5. If Amendment, 3. I.R.S. Identification (Street) Date of Original Number of Reporting CA 90040 Commerce, (Month/Day/Year) Person, if an entity (voluntary) (City) (State) (Zip) Inc.

6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director _ 10% Owner X Officer (give title below) _ Other (specify below)

Description Senior Vice President, Human Resources, Smart & Final Stores Corporation, a wholly owned subsidiary of Smart & Final

- 7. Individual or Joint/Group Filing (Check Applicable Line)
- \underline{X} Form filed by One Reporting Person
- Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.		4. Securities Acquired h(A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following	6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)	
Common	02/19/2003		Α		20,000	Α	\$	24,000(1)	D	None	

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				Tab	le II			urities Acqui s, warrants, c		•	•	Owned	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio Code (Instr.8)		5. Number of nDerivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr.5)	9. Numb Deriva Secul Bene Owne Follov Repo Trans (Instr.
				Code	V	A	D	DE	ED	Title	Amount or Number of Shares		
Stock Option	\$4.28	02/19/2003		А		25,000		8,334 on 2/19/2005, 8,333 on 2/19/2006 and 8,333 on 2/19/2007	2/19/2013	Common	25,000	\$4.28	82,

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Explanation of Responses:

(1) 20,000 restricted stock (smart shares), 4,000 vested and deferred smart shares.

By: Date:

<u>/s/ Jeffrey Whynot</u> <u>02/21/2003</u>

Senior Vice President, Human Resources, Smart and Final Stores Corporation

** Signature of Reporting Person

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.