Edgar Filing: CENTRAL GARDEN & PET CO - Form 4

CENTRAL GARDEN & PET CO Form 4 March 24, 2005 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading PENNINGTON BROOKS III Issuer Symbol **CENTRAL GARDEN & PET CO** (Check all applicable) [CENT] __X__ Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) 1280 ATLANTA HIGHWAY 03/22/2005 Pres. & CEO - Pennington Seed (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting MADISON, GA 30650 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3, 4 and 5) (Instr. 3) any Code Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) Owned (Instr. 8) (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 03/22/2005 $M^{(1)}$ 12,500 А \$7.54 313,743 D Stock Common S⁽²⁾ 03/22/2005 200 D D 313,543 43.02 Stock Common S 55 D \$42.9 313,488 D 03/22/2005 Stock Common 03/22/2005 S 400 D 313,088 D 42.82 Stock Common 03/22/2005 S 1,000 D \$42.8 312,088 D Stock

Edgar Filing: CENTRAL GARDEN & PET CO - Form 4

| Common Stock | 03/22/2005 | S | 100 | D | \$ 42.76 | 311,988 | D | |
|-----------------|------------|---|-------|---|-------------|---------|---|--------------------|
| Common Stock | 03/22/2005 | S | 3,699 | D | \$ 42.75 | 308,289 | D | |
| Common Stock | 03/22/2005 | S | 945 | D | \$ 42.74 | 307,344 | D | |
| Common Stock | 03/22/2005 | S | 1,494 | D | \$ 42.72 | 305,850 | D | |
| Common Stock | 03/22/2005 | S | 1,000 | D | \$ 42.7 | 304,850 | D | |
| Common Stock | 03/22/2005 | S | 1,000 | D | \$ 42.66 | 303,850 | D | |
| Common Stock | 03/22/2005 | S | 706 | D | \$ 42.65 | 303,144 | D | |
| Common Stock | 03/22/2005 | S | 1,001 | D | \$ 42.6 | 302,143 | D | |
| Common Stock | 03/22/2005 | S | 363 | D | \$ 42.51 | 301,780 | D | |
| Common Stock | 03/22/2005 | S | 537 | D | \$ 42.49 | 301,243 | D | |
| Common Stock | | | | | | 67,040 | Ι | By L.P. <u>(3)</u> |
| Common Stock | | | | | | 6,938 | Ι | By Spouse |
| Common Stock | | | | | | 7,604 | I | By LLC (5) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---|---|---|---|--|--|--|---|
| | | | | Code V | · | | Title |

Edgar Filing: CENTRAL GARDEN & PET CO - Form 4

| | | | | | Date Exercisable | Expiration Date | | Amount or Number of Shares |
|--|---------|------------|---|--------|---------------------|--------------------|-----------------|-------------------------------------|
| Employee Stock Option (right to buy) | \$ 7.54 | 03/22/2005 | М | 12,500 | 07/22/2004 | 07/22/2005 | Common Stock | 12,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-------------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| PENNINGTON BROOKS III 1280 ATLANTA HIGHWAY MADISON, GA 30650 | Х | | Pres. & CEO - Pennington Seed | | | | |
| Signatures | | | | | | | |
| /s/ Brooks M. Pennington III | 03/24/2005 | | | | | | |
| **Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options exercised through sales plan pursuant to Rule 10b5-1(c)(1) of the Securities Exchange Act of 1934, as amended.
- (2) Sale (and all sales reported in this table) effected under sales plan pursuant to Rule 10b5-1(c)(1) of the Securities Exchange Act of 1934, as amended.
- By BPCB Partners L.P. The general managing partner of BPCB Partners L.P. is BPCB Management Company LLC ("BPCBLLC"), and(3) Mr. Pennington is the sole member of BPCBLLC. Mr. Pennington disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.

(4) Mr. Pennington disclaims beneficial ownership of 6,938 shares of the Issuer's common stock owned by his spouse.

By Pennington Management Company II, LLC, in which Mr. Pennington has an ownership interest. Mr. Pennington is the President of

(5) Pennington Management Company II, LLC. Mr. Pennington disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.