

CITIGROUP INC
Form 3
February 09, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|--|--|--|--|--|
| 1. Name and Address of Reporting Person * Klein Michael Stuart (Last) (First) (Middle) | 2. Date of Event Requiring Statement (Month/Day/Year) 02/01/2007 | 3. Issuer Name and Ticker or Trading Symbol CITIGROUP INC [C] | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
|--|--|--|--|--|

CITIGROUP INC.
CORPORATE LAW
DEPARTMENT, 425 PARK
AVENUE 2ND FLOOR
(Street)

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
Co-President Citigroup CIB

NEW YORK, NY 10043
(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 735,187.5 | D | À |
| Common Stock | 43,477 | I | By GRATs |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: CITIGROUP INC - Form 3

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Employee Stock Options (Right to Buy) | 06/30/1998 | 06/30/2007 | Common Stock | 202.64 ⁽¹⁾ | \$ 19.82 | D | Â |
| Employee Stock Options (Right to Buy) | 06/30/1999 | 06/30/2008 | Common Stock | 139.38 ⁽¹⁾ | \$ 28.65 | D | Â |
| Employee Stock Options (Right to Buy) | 07/12/2004 | 02/12/2009 | Common Stock | 57,000 ⁽²⁾ | \$ 32.05 | D | Â |
| Employee Stock Options (Right to Buy) | 06/30/2000 | 06/30/2009 | Common Stock | 124.37 ⁽¹⁾ | \$ 32.22 | D | Â |
| Employee Stock Options (Right to Buy) | 07/13/2007 | 02/13/2012 | Common Stock | 21,444.9 | \$ 42.11 | D | Â |
| Employee Stock Options (Right to Buy) | 06/20/2002 | 11/02/2008 | Common Stock | 5,682.6 | \$ 46.63 | D | Â |
| Employee Stock Options (Right to Buy) | 12/19/2001 | 01/28/2008 | Common Stock | 2,814.18 | \$ 46.44 | D | Â |
| Employee Stock Options (Right to Buy) | 12/19/2001 | 11/02/2008 | Common Stock | 11,430.7 | \$ 46.44 | D | Â |
| Employee Stock Options (Right to Buy) | 09/25/2002 | 03/25/2008 | Common Stock | 3,248.8 | \$ 46.48 | D | Â |
| Employee Stock Options (Right to Buy) | 07/20/2005 | 01/20/2010 | Common Stock | 43,333.3 ⁽²⁾ | \$ 49.5 | D | Â |
| Employee Stock Options (Right to Buy) | 07/16/2002 | 01/16/2011 | Common Stock | 53,609.9 ⁽¹⁾ | \$ 49.54 | D | Â |
| Employee Stock Options (Right to Buy) | 08/07/2005 | 04/18/2010 | Common Stock | 40,692 | \$ 49.78 | D | Â |
| Employee Stock Options (Right to Buy) | 11/01/2006 | 04/18/2010 | Common Stock | 10,420 | \$ 49.95 | D | Â |
| Employee Stock Options (Right to Buy) | 04/05/2007 | 02/13/2012 | Common Stock | 77,949 | \$ 51.03 | D | Â |
| Employee Stock Options (Right to Buy) | 06/12/2007 | 04/20/2009 | Common Stock | 16,429 | \$ 52.88 | D | Â |
| Employee Stock Options (Right to Buy) | 06/19/2007 | 03/25/2008 | Common Stock | 2,963 | \$ 55.44 | D | Â |
| Employee Stock Options (Right to Buy) | 06/19/2007 | 01/28/2008 | Common Stock | 15,542 | \$ 55.44 | D | Â |

Edgar Filing: CITIGROUP INC - Form 3

| | | | | | | | |
|---------------------------------------|------------|------------|--------------|--------|----------|---|---|
| Employee Stock Options (Right to Buy) | 06/19/2007 | 11/02/2008 | Common Stock | 10,284 | \$ 55.44 | D | Â |
| Employee Stock Options (Right to Buy) | 06/19/2007 | 04/20/2009 | Common Stock | 31,884 | \$ 55.44 | D | Â |
| Employee Stock Options (Right to Buy) | 06/29/2007 | 03/25/2008 | Common Stock | 8,935 | \$ 55.88 | D | Â |
| Employee Stock Options (Right to Buy) | 06/29/2007 | 01/28/2008 | Common Stock | 20,572 | \$ 55.88 | D | Â |
| Employee Stock Options (Right to Buy) | 06/29/2007 | 04/20/2009 | Common Stock | 31,928 | \$ 55.88 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Klein Michael Stuart CITIGROUP INC. CORPORATE LAW DEPARTMENT 425 PARK AVENUE 2ND FLOOR NEW YORK, NY 10043 | Â | Â | Â Co-President Citigroup CIB | Â |

Signatures

Michael Klein by Glenn S. Gray, Attorney-in-Fact 02/09/2007

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in five annual installments beginning on the date indicated.
 - (2) The options vest in three annual installments beginning on the date indicated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.