GETZ JAMES F
Form 4
February 20, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
GETZ JAMES F

(Last) (First) (Middle)

ONE OXFORD CENTRE, 301 GRANT STREET, SUITE 2700

(Street)

PITTSBURGH, PA 15219

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
TriState Capital Holdings, Inc. [TSC]

3. Date of Earliest Transaction (Month/Day/Year)
02/15/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
__X__ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
CHAIRMAN, PRESIDENT AND CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	02/15/2018		M		5,000	A	$ 10.25	760,289	D	
Common Stock	02/15/2018		S(1)		5,000	D	$ 23.2	755,289	D	
Common Stock	02/16/2018		M		5,000	A	$ 10.25	760,289	D	
Common Stock	02/16/2018		S(1)		5,000	D	$ 23.156	755,289	D	
Common Stock								287,173	I	By Getz Enterprises, L.P. (2)

Common Stock	160,618	I	By Barclays Capital, Inc. FBO James F. Getz Individual Retirement Account (3)
Common Stock	61,252	D (4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	$ 10.25	02/15/2018		M(1)			5,000	06/30/2015(5)	12/31/2022	Common Stock	5,000
Stock Options (Right to Buy)	$ 10.25	02/16/2018		M(1)			5,000	06/30/2015(5)	12/31/2022	Common Stock	5,000
Stock Options (Right to Buy)	$ 11.66							07/02/2016(6)	01/02/2024	Common Stock	29,232
Stock Options (Right to Buy)	$ 10.31							07/16/2017(7)	01/16/2025	Common Stock	64,161

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GETZ JAMES F ONE OXFORD CENTRE 301 GRANT STREET, SUITE 2700 PITTSBURGH, PA 15219	X		CHAIRMAN, PRESIDENT AND CEO	

Signatures

/s/ Keevican Weiss Bauerle & Hirsch LLC by David J. Hirsch, Attorney-in-Fact	02/20/2018
**Signature of Reporting Person	Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The options were exercised and the underlying securities were sold by Mr. Getz to fund his tax obligations with respect to the vesting in 2018 of restricted stock awards made to him under the Company's 2014 Omnibus Incentive Plan.

(2) The Reporting Person is the general partner of this entity.

(3) The Reporting Person is the beneficiary of this account.

(4) Shares held jointly by Mr. Getz and his wife.

(5) All of these options vested and became exercisable on 12/31/2017.

(6) 866 of these options vested and became exercisable on 7/2/2016, and the remainder will vest and become exercisable on 1/2/2019.

(7) 32,080 of these options vested and became exercisable on 7/16/2017, and the remainder will vest and become exercisable on 1/16/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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