

ALBERINI CARLOS

Form 3/A

November 05, 2012

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â ALBERINI CARLOS

(Last) (First) (Middle)

15 KOCH ROAD, SUITE J

(Street)

CORTE

MADERA,Â CAÂ 94925

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

11/01/2012

3. Issuer Name and Ticker or Trading Symbol

Restoration Hardware Holdings Inc [RH]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☒ Director ☒ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Chief Executive Officer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

11/01/2012

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting  
Person☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

1,405,480

D

Â

Common Stock

406,819

I

By Trust <sup>(1)</sup>Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

# Edgar Filing: ALBERINI CARLOS - Form 3/A

|                             | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|-----------------------------|---------------------|--------------------|-----------------|----------------------------------|----------|------------------------------------------------|---|
| Stock Option (Right to Buy) | Â (2)               | 11/01/2022         | Common<br>Stock | 2,976,826                        | \$ 46.5  | D                                              | Â |

## Reporting Owners

| Reporting Owner Name / Address                                         | Relationships |           |                           |       |
|------------------------------------------------------------------------|---------------|-----------|---------------------------|-------|
|                                                                        | Director      | 10% Owner | Officer                   | Other |
| ALBERINI CARLOS<br>15 KOCH ROAD, SUITE J<br>CORTE MADERA, Â CA Â 94925 | Â X           | Â X       | Â Chief Executive Officer | Â     |

## Signatures

Glenda Citragno as  
Attorney-in-Fact

11/05/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) By Carlos E. Alberini as trustee of the Carlos E. Alberini Family Trust, dated November 1, 2012.

Option is fully vested, and the underlying shares are subject to stock price performance-based selling restrictions, which, subject to continuous service, will lapse with respect to 1/12th of the shares when the ten day trailing average price of the Company's common stock exceeds \$50.75 per share for at least 10 consecutive trading days, and which will lapse with respect to additional 1/12ths of the shares when the ten day trailing average price of the Company's common stock reaches a price per share of \$57.00, \$63.25, \$69.25, \$75.00, \$80.75, \$86.25, \$91.75, \$96.75, \$102.00, \$106.75 and \$111.25 for 10 consecutive trading days, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.