Edgar Filing: ARATANA THERAPEUTICS, INC. - Form 4

| | Edgar Fil | ING: ARATANA TH | ERAPEUTICS, I | NC Form 4 | | | |
|--|---|--|---|--|--|---|--|
| ARATANA T Form 4 July 03, 2013 | HERAPEUTICS, INC. | | | | | | |
| | | | | | OMB APPROVAL | | |
| | UNITED STATE | S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | OMB Number: | 3235-0287 | |
| | | | | | | | |
| (Print or Type Res | sponses) | | | | | | |
| 1. Name and Add STEPHANUS | lress of Reporting Person <u>*</u> S JULIA A | 2. Issuer Name and T Symbol ARATANA THEF [PETX] | - | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) (Middle) | 3. Date of Earliest Transaction Director | | | 10% Owner | | |
| C/O ARATAN INC., 1901 O BOULEVAR | | (Month/Day/Year) 07/02/2013 | | X Officer (give below) CHIEF COM | (give title Other (specify below) COMMERCIAL OFFICER | | |
| | (Street) | 4. If Amendment, Date Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by C | _X_ Form filed by One Reporting Person | | | |
| KANSAS CIT | FY, KS 66103 | | | Form filed by M Person | lore than One Re | porting | |
| (City) | (State) (Zip) | Table I - Non-De | rivative Securities Ac | equired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | any | ution Date, if Transacti Code ath/Day/Year) (Instr. 8) | 4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Prio | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| COMMON STOCK | 07/02/2013 | C | $\begin{array}{c} 2,256 \\ \underline{(1)} \\ 1 \end{array} \qquad A \begin{array}{c} (1) \\ \underline{(1)} \\ \underline{(1)} \end{array} \qquad A \begin{array}{c} 0 \\ \underline{(1)} \\ \underline{(1)} \\ \underline{(1)} \end{array}$ | | D | | |
| COMMON STOCK | 07/02/2013 | Р | 2,000 A \$6 | 134,552 <u>(2)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer Expiration D (Month/Day/ | ate | Underlying Securitie | |
|--|---|---|---|---------------------------------------|---|---|--------------------|----------------------|--------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amo or Nun of Shar |
| SERIES C CONVERTIBLE PREFERRED STOCK | \$ 6.648 (<u>1</u>) | 07/02/2013 | | С | 3,750 | <u>(1)</u> | <u>(1)</u> | COMMON STOCK | 2,2 (|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|--------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| STEPHANUS JULIA A C/O ARATANA THERAPEUTICS, INC. 1901 OLATHE BOULEVARD KANSAS CITY, KS 66103 | | | CHIEF COMMERCIAL OFFICER | | | |
| Signatures | | | | | | |
| /s/ Louise A. Mawhinney, Attorney-in-Fact Stephanus | for Julia | А. | 07/02/2013 | | | |
| **Signature of Reporting Person | | | Date | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Series C Convertible Preferred Stock (the "Preferred Stock") has no expiration date. The shares of Preferred Stock reported on this line
 (1) item converted into shares of the Issuer's Common Stock on a 1-for-0.601685 basis upon the closing of the Issuer's initial public offering (the "IPO Closing").
- (2) The reporting person acquired an aggregate of 83 shares of common stock as a stock dividend on the IPO Closing which are reflected in the aggregate holdings set forth in Column 5.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.