

PATTERSON COMPANIES, INC.

Form 4

September 04, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LACY ANDRE B

2. Issuer Name **and** Ticker or Trading
Symbol
PATTERSON COMPANIES, INC.
[PDCO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
09/03/2013

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

LDI, LTD., 54 MONUMENT
CIRCLE

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

INDIANAPOLIS, IN 46204

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/03/2013		M	V Amount (A) or (D) Price 12,000 A \$ 27.8575	40,263	D	
Common Stock	09/03/2013		S	12,000 D \$ 39.88	28,263	D	
Common Stock					57,900	I ⁽¹⁾	In Trust
Common Stock					7,800	I ⁽²⁾	GRAT

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pr Deriv Secur (Instr	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Options	\$ 27.8575 (3)	09/03/2013		M	12,000	(4)	(5)	Common Stock	12,000 27.

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LACY ANDRE B LDI, LTD. 54 MONUMENT CIRCLE INDIANAPOLIS, IN 46204	X			

Signatures

Matthew L. Levitt, by Power of
Attorney

09/04/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Held by Revocable Trust of which the Reporting Person is the trustee.

(2) Held by Reporting Person's Grantor Retained Annuity Trust.

(3) Options granted as follows: 536 on 4/26/04 at \$38.50, 12,000 on 9/13/04 at \$37.18, 384 on 5/2/05 at \$50.75, 12,000 on 9/12/05 at \$40.935, 556 on 5/1/06 at \$32.30, 5,000 on 9/18/06 at \$32.41 and 1,926 on 4/30/07 at \$36.06.

(4) Options exercisable as follows: 536 on 4/26/05, 12,000 on 9/13/05, 384 on 5/2/06, 12,000 on 9/12/06, 556 on 5/1/07, 5,000 on 9/18/07 and 1,926 on 4/30/08.

(5) All grants expire 10 years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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