DUNKIN' BRANDS GROUP, INC.

Form 4

October 03, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

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Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

10/01/2013

(Print or Type Responses)

See Instruction

1. Name and A	2. Issuer Name <b>and</b> Ticker or Trading Symbol DUNKIN' BRANDS GROUP, INC. [DNKN]						5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)					
(Mor				3. Date of Earliest Transaction (Month/Day/Year) 10/01/2013					Director 10% Owner _X_ Officer (give title Other (specify below) President, Glob.Mkt & Innovat.			
CANTON	(Street)	Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _Form filed by More than One Reporting						
CANTON, MA 02021									Person			
(City)	(State)	(Zip)	Table	e I - Non-	Deriva	ative S	Secur	ities Acqu	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	e) Execution any	emed on Date, if Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	ities Form: Direct Indirect icially (D) or Beneficial d Indirect (I) Ownersh ving (Instr. 4) (Instr. 4) ted action(s)				
Common	10/01/0010			Code V		nount	(D)	Price	(Instr. 3 and 4)	_		
Stock	10/01/2013			M	819	9	A	\$ 3.02	23,251	D		
Common Stock	10/01/2013			M	1,1	81	A	\$ 7.31	24,432	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(1)}$ 

2,000

D

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form

22,432

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to purchase Common Stock	\$ 3.02	10/01/2013		M	819	(2)	02/23/2020	Common Stock	819
Option to Purchase Common Stock	\$ 7.31	10/01/2013		M	1,181	(3)	03/09/2021	Common Stock	1,181

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
coporting of the control of the cont	Director	10% Owner	Officer	Other			
COSTELLO JOHN H C/O DUNKIN' BRANDS GROUP, INC. 130 ROYALL STREET CANTON, MA 02021			President, Glob.Mkt & Innovat.				

### **Signatures**

/s/ Richard Emmett, as attorney-in-fact for John H. Costello

10/03/2013 Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10B5-1 trading plan adopted by the reporting person on 4/26/2013.
- On 02/23/2010, the reporting person was granted an option of purchase 153,239 shares of Common Stock. The option vests based upon (2) time (five equal installments that began on 2/23/2011) and performance (investment returns to investment funds affiliated with Bain Capital Partners, LLC, The Carlyle Group and Thomas H. Lee Partners, L.P. (collectively, the "Sponsors")) criteria.

Reporting Owners 2

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(3) On 03/09/2011, the reporting person was granted an option to purchase 13,134 shares of Common Stock. The option vests based upon time criteria (five equal annual installments that began on 03/09/2012).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.