## Edgar Filing: NEWTEK BUSINESS SERVICES, INC. - Form 4

NEWTEK BUSINESS SERVICES, INC. Form 4 December 02, 2013

						OMB APPROVAL				
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5	er <b>STATEM</b> 5.		OF CHANGES IN BENEFICIAL OW SECURITIES					Expires: Estimated a burden hou response	•	
obligation may contin <i>See</i> Instruct 1(b).	s Section 17(a		Jtility Hold	ling Com	pany	Act of	f 1935 or Sectio	n		
(Print or Type R	esponses)									
Kirschner Sam Syml			2. Issuer Name <b>and</b> Ticker or Trading Symbol NEWTEK BUSINESS SERVICES,				5. Relationship of Reporting Person(s) to Issuer			
	NEWT]				(Check all applicable)					
			e of Earliest Transaction n/Day/Year) /2013			_X_ Director 10% Owner Officer (give title Other (specify below)				
			nendment, Da onth/Day/Year				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORK	K, NY 10003						Form filed by M Person	Iore than One Re	porting	
(City)	(State) (2	Zip) Ta	ble I - Non-D	erivative S	Securi	ties Acc	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, i any (Month/Day/Year	Code (Instr. 8)	on(A) or Di (D) (Instr. 3,	sposed 4 and (A) or	d of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/02/2013		Code V P	Amount 5,000	(D) A	Price \$ 2.62	79,303	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Addre	255	Relationships						
	Director	10% Owner	Officer	Other				
Kirschner Sam 60 EAST 8TH STREET #20K NEW YORK, NY 10003	Х							
Signatures								
Sam Kirschner	12/02/2013							
<pre>**Signature of Reporting Person</pre>	Date							

## **Explanation of Responses:**

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.