Evercore Partners Inc. Form 4 February 13, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 

Washington, D.C. 20549

3235-0287 Number: January 31,

2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

Expires:

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Altman Roger C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First) (Middle) Evercore Partners Inc. [EVR]

(Check all applicable)

C/O EVERCORE PARTNERS INC., 55 EAST 52ND STREET, 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director X\_ Officer (give title

below)

10% Owner Other (specify

38TH FLOOR

(Street)

4. If Amendment, Date Original

**Executive Chairman** 

Filed(Month/Day/Year)

02/11/2014

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

NEW YORK, NY 10055

(City)	(State) (	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities Acquired on(A) or Disposed of		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(Wondin Buy, Tear)	any	Code	(D) (Instr. 3, 4 and 5)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)			Owned	Indirect (I)	Ownership
			Code V	Amount	(A) or (D) Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Shares of Class A								
common stock, par value \$0.01	02/11/2014		A	36,775 (1)	A \$0	320,386	D	

50,000

Ι

See footnote (2)

Shares of Class A common

per share

stock, par value \$0.01

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per share

Shares of Class B

common 1 D stock, par

value \$0.01 per share

Shares of Class B common

1 stock, par value \$0.01

Share held in trust. (3)

per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise (Instr. 3) Price of (Month/Day/Year) Derivative Security

5. TransactionNumber Code of (Instr. 8) Derivative Securities

6. Date Exercisable and 7. Title and **Expiration Date** (Month/Day/Year)

Amount of Underlying Securities (Instr. 3 and 4) 8. Price of Derivative Security (Instr. 5)

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9. Nu

Deriv

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Bene

Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D)

Acquired

(A) or

Expiration Title Number Date Exercisable Date

Amount

of Shares

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

Other Director 10% Owner Officer

Altman Roger C

C/O EVERCORE PARTNERS INC. 55 EAST 52ND STREET, 38TH FLOOR NEW YORK, NY 10055

X

**Executive Chairman** 

Reporting Owners 2

### **Signatures**

/s/ Adam B. Frankel, as Attorney-in-Fact

02/13/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units, which vest in four equal annual installments beginning on February 4, 2015.
- These shares of Class A common stock are held for the benefit of Mr. Altman's family. Mr. Altman disclaims beneficial ownership of these shares of Class A common stock held by the trust and he does not have voting or investment power over the trust, and the filing of this report is not an admission that Mr. Altman is the beneficial owner of these shares of Class A common stock for the purposes of Section 16 or any other purpose.
- This share of Class B common stock is held in trust for the benefit of Mr. Altman's family. Mr. Altman disclaims beneficial ownership of (3) this share of Class B common stock, and the filing of this report is not an admission that Mr. Altman is the beneficial owner of this share of Class B common stock for the purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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