

CERUS CORP
Form 4
October 06, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CORASH LAURENCE M

(Last) (First) (Middle)

C/O CERUS CORPORATION, 2550 STANWELL DRIVE

(Street)

CONCORD, CA 94520

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CERUS CORP [CERS]

3. Date of Earliest Transaction (Month/Day/Year)
10/03/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Med & Scientific Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount (A) or Price (D) | | |
| Common Stock | 10/03/2014 | | M | | 100 A \$ 2.51 | D | |
| Common Stock | 10/03/2014 | | M | | 150 A \$ 2.98 | D | |
| Common Stock | 10/03/2014 | | M | | 9,940 A \$ 2.15 | D | |
| Common Stock | 10/03/2014 | | M | | 20,060 A \$ 2.15 | D | |
| Common Stock | 10/03/2014 | | M | | 35,000 A \$ 0.79 | D | |

Edgar Filing: CERUS CORP - Form 4

Common Stock 10/03/2014 M 97,500 A \$ 2.08 943,506 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Option (Right to Buy) | \$ 2.51 | 10/03/2014 | | M | 100 | <u>(1)</u> 11/15/2014 | Common Stock | 100 |
| Option (Right to Buy) | \$ 2.98 | 10/03/2014 | | M | 150 | <u>(3)</u> 07/26/2019 | Common Stock | 150 |
| Option (Right to Buy) | \$ 2.15 | 10/03/2014 | | M | 9,940 | <u>(4)</u> 09/30/2019 | Common Stock | 9,940 |
| Option (Right to Buy) | \$ 2.15 | 10/03/2014 | | M | 20,060 | <u>(4)</u> 09/30/2019 | Common Stock | 20,060 |
| Option (Right to Buy) | \$ 0.79 | 10/03/2014 | | M | 35,000 | <u>(5)</u> 01/11/2019 | Common Stock | 35,000 |
| Option (Right to Buy) | \$ 2.08 | 10/03/2014 | | M | 97,500 | <u>(6)</u> 08/31/2021 | Common Stock | 97,500 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

CORASH LAURENCE M
C/O CERUS CORPORATION
2550 STANWELL DRIVE
CONCORD, CA 94520

X

Chief Med & Scientific Officer

Signatures

Laurence M. Corash by Chrystal Menard,
attorney-in-fact

10/06/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Options vested immediately on November 16, 2004.
- (2) Not applicable.
- (3) The Options became fully vested on September 30, 2009.
- (4) One eighth (1/8th) of the shares subject to the Option vested six (6) months after October 1, 2009 and one forty-eighth (1/48th) of the shares subject to the Option vested on the first day of each month thereafter.
- (5) One fourth (1/4th) of the shares subject to the Option vested annually on each anniversary of the January 12, 2009 grant date.
- (6) One eighth (1/8th) of the shares subject to the Option vested six (6) months after November 1, 2011 and one forty-eighth (1/48th) of the shares subject to the Option vested on the first day of each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.