

SHELTON JAMES D
Form 4
December 02, 2004

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
SHELTON JAMES D

(Last) (First) (Middle)

5800 TENNYSON PARKWAY

(Street)

PLANO, TX 75024

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

TRIAD HOSPITALS INC [TRI]

3. Date of Earliest Transaction
(Month/Day/Year)

12/01/2004

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, President, CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	12/01/2004		M		10,000	A	\$ 9.375
Common Stock	12/01/2004		S ⁽¹⁾		10,000	D	\$ 36.5
Common Stock	12/01/2004		M		191,700	A	\$ 11.5
Common Stock	12/01/2004		S		3,500	D	\$ 36.79
Common Stock	12/01/2004		S		161,700	D	\$ 36.75
							260,740
							250,740
							442,440
							438,940
							277,240

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Common Stock	12/01/2004	S	2,100	D	\$ 36.76	275,140	D	
Common Stock	12/01/2004	S	20,400	D	\$ 36.73	254,740	D	
Common Stock	12/01/2004	S	4,000	D	\$ 36.74	250,740	D	
Common Stock in HCA Inc. 1995 Management Stock Purchase Plan						443	D	
Common Stock in HCA Inc. Employee Stock Purchase Plan						104	D	
Common Stock in Triad Retirement Savings Plan ESOP Acct						644	I	By ESOP
Common Stock in Triad Retirement Plan Stock Fund						158	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
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	Security	(Instr. 3, 4, and 5)				Expiration Date	Title		
		Code	V	(A)	(D)			Date Exercisable	
Non-qualified option (right to buy)	\$ 9.375			12/01/2004	M	10,000	05/26/1999	05/26/2009	Common Stock
Non-qualified option (right to buy)	\$ 11.5			12/01/2004	M	191,700	<u>(2)</u>	06/10/2009 ⁽³⁾ <u></u>	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHELTON JAMES D 5800 TENNYSON PARKWAY PLANO, TX 75024	X		Chairman, President, CEO	

Signatures

Donald P Fay,
Attorney-in-fact

Signature of Reporting Person _____ Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale pursuant to 10b5-1 trading plan.
- (2) The option vests in three installments: 47,100 shares on June 10, 1999, 72,100 shares on June 10, 2000, and 216,300 shares on April 27, 2001.
- (3) The expiration date was erroneously shown as 05/21/2012 in previous filings, and is corrected by this filing.

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