

6D Global Technologies, Inc
Form 3
May 06, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Timchuk Bradley N

(Last) (First) (Middle)

C/O 6D GLOBAL
TECHNOLOGIES, INC., 17
STATE STREET, SUITE 2550

(Street)

NEW YORK, NY 10004

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/26/2016

3. Issuer Name and Ticker or Trading Symbol
6D Global Technologies, Inc [SIXD]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner

☒ Officer ____ Other
(give title below) (specify below)

President and COO

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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| | | | | Shares | | or Indirect (I) (Instr. 5) | |
|----------------------------------|-------|------------|--------------|---------|---------|----------------------------------|-----------|
| Stock Option (right to purchase) | Â (1) | 05/02/2026 | Common Stock | 200,000 | \$ 0.2 | D | Â |
| Stock Option (right to purchase) | Â (2) | 01/18/2026 | Common Stock | 3,000 | \$ 2.9 | I | By spouse |
| Stock Option (right to purchase) | Â (3) | 04/13/2025 | Common Stock | 7,500 | \$ 8.72 | I | By spouse |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Timchuk Bradley N C/O 6D GLOBAL TECHNOLOGIES, INC. 17 STATE STREET, SUITE 2550 NEW YORK, NY 10004 | Â | Â | Â President and COO | Â |

Signatures

/s/ Bradley
Timchuk 05/04/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on May 2, 2016 pursuant to 6D Global Technologies, Inc.'s 2015 Employee Stock Option Plan and will vest annually in five equal annual installments on the anniversary of the grant date.
- (2) The options were granted on January 18, 2016 pursuant to 6D Global Technologies, Inc.'s 2015 Employee Stock Option Plan and will vest annually in five equal annual installments on the anniversary of the grant date.
- (3) The options were granted on April 13, 2015 pursuant to 6D Global Technologies, Inc.'s 2015 Employee Stock Option Plan. The options vest annually in five equal annual installments on the anniversary of the grant date, with the first of the five installments having vested on April 13, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.