AROTECH CORP

Form 5 February 14, 2017

-ORM	l 5						OIVID AF	PROVAL		
OT TIVE		STATES			EXCHANGE (COMMISSION	OMB Number:	3235-036	32	
Check this no longer s	subject		Washington, D.C. 20549				Expires:	January 31, 2005		
to Section Form 4 or 1 5 obligation may contin	Form ANN ns nue.				ANGES IN BEN SECURITIES	EFICIAL	Estimated a burden hou response	rs per	.0	
See Instruc 1(b). Form 3 Ho Reported Form 4 Transaction Reported	Filed purs	of the	Public Ut	ility Holding	ecurities Exchang g Company Act o ompany Act of 194	f 1935 or Section	n			
. Name and Address of Reporting Person * Battershell Carol Jane			Symbol	Name and Tick	-	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (M	Iiddle)	3. Statement for Issuer's Fiscal Year Ended				k all applicable			
600 NORTH OAK STREET, #918			(Month/Da 12/31/20	•		X Director Officer (give below)		Owner er (specify		
000 NOK I		, #918				,	,			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Reporting				
						(checl	k applicable line)			
ARLINGTO	N, VA 22209					_X_ Form Filed by 0 Form Filed by N Person	One Reporting Po			
(City)	(State)	(Zip)	Table	e I - Non-Deri	vative Securities Acc	quired, Disposed of	, or Beneficial	ly Owned		
Title of ecurity (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	med on Date, if Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Â

Â

Common

Stock

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Fiscal Year

24,907 (1)

D

(Instr. 3 and 4)

(A)

or

Amount (D) Price

Â

Â

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Â

Â

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Date	Amount of	Derivative	of

Â

SEC 2270

(9-02)

Edgar Filing: AROTECH CORP - Form 5

D So B O

Is Fi

Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Year)	Underlying Securities (Instr. 3 and		Security (Instr. 5)
				(A) (D)	Date Exercisable	Expiration Date	Title Am or Nur of Sha	nber	

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
Battershell Carol Jane 1600 NORTH OAK STREET, #918 ARLINGTON, VA 22209	ÂX	Â	Â	Â		

Signatures

/s/ Carol J. 02/13/2017 Battershell

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of 24,907 unvested restricted shares, the vesting of which is not subject to performance criteria.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2