

VERNICE JOSEPH J
Form 4
May 23, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
VERNICE JOSEPH J

(Last) (First) (Middle)

C/O UNITED GUARDIAN
INC, 230 MARCUS BLVD P O
BOX 18050

(Street)

HAUPPAUGE, NY 11788

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
UNITED GUARDIAN INC [UG]

3. Date of Earliest Transaction
(Month/Day/Year)
05/21/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	05/21/2013		S	30	D \$ 26.62	11,496	D
Common Stock	05/21/2013		S	483	D \$ 26.55	11,013	D
Common Stock	05/22/2013		S	56	D \$ 26.37	10,957	D
Common Stock	05/22/2013		S	72	D \$ 26.34	10,885	D
Common Stock	05/22/2013		S	72	D \$ 26.32	10,813	D

Edgar Filing: VERNICE JOSEPH J - Form 4

Common Stock	05/22/2013	S	100	D	\$ 26.27	10,713	D
Common Stock	05/22/2013	S	100	D	\$ 26.25	10,613	D
Common Stock	05/22/2013	S	100	D	\$ 26.25	10,513	D
Common Stock	05/22/2013	S	300	D	\$ 26	10,213	D
Common Stock	05/22/2013	S	300	D	\$ 26.26	9,913	D
Common Stock	05/22/2013	S	400	D	\$ 26.27	9,513	D
Common Stock	05/23/2013	S	9	D	\$ 25.81	9,504	D
Common Stock	05/23/2013	S	72	D	\$ 26.03	9,432	D
Common Stock	05/23/2013	S	76	D	\$ 25.88	9,356	D
Common Stock	05/23/2013	S	100	D	\$ 25.64	9,256	D
Common Stock	05/23/2013	S	100	D	\$ 25.6	9,156	D
Common Stock	05/23/2013	S	100	D	\$ 25.62	9,056	D
Common Stock	05/23/2013	S	100	D	\$ 25.62	8,956	D
Common Stock	05/23/2013	S	100	D	\$ 25.66	8,856	D
Common Stock	05/23/2013	S	100	D	\$ 25.68	8,756	D
Common Stock	05/23/2013	S	100	D	\$ 25.69	8,756	D
Common Stock	05/23/2013	S	100	D	\$ 25.72	8,556	D
Common Stock	05/23/2013	S	100	D	\$ 25.73	8,456	D
Common Stock	05/23/2013	S	100	D	\$ 25.77	8,356	D
Common Stock	05/23/2013	S	100	D	\$ 25.8	8,256	D
	05/23/2013	S	100	D		8,156	D

Edgar Filing: VERNICE JOSEPH J - Form 4

Common Stock						\$ 25.82		
Common Stock	05/23/2013		S	100	D	\$ 25.83	8,056	D
Common Stock	05/23/2013		S	100	D	\$ 25.84	7,956	D
Common Stock	05/23/2013		S	100	D	\$ 25.86	7,856	D
Common Stock	05/23/2013		S	100	D	\$ 25.87	7,756	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 5)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VERNICE JOSEPH J C/O UNITED GUARDIAN INC 230 MARCUS BLVD P O BOX 18050 HAUPPAUGE, NY 11788			Vice President	

Signatures

Joseph J.
Vernice

05/23/2013

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.