#### Edgar Filing: GENESEE & WYOMING INC - Form 4

#### **GENESEE & WYOMING INC**

Form 4

October 03, 2006

## FORM 4

Check this box

if no longer

Section 16.

Form 4 or

subject to

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading RINGO PHILIP J Issuer Symbol

(Month/Day/Year)

09/29/2006

3. Date of Earliest Transaction

**GENESEE & WYOMING INC** [GWR]

> \_X\_\_ Director 10% Owner Other (specify Officer (give title

(Check all applicable)

C/O GENESEE & WYOMING INC., 66 FIELD POINT ROAD

(First)

(Middle)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year) Applicable Line)

GREENWICH, CT 06830

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

6. Individual or Joint/Group Filing(Check

(City)	(State)	(Zip) Table	e I - Non-D	erivative S	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	spose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Class A Common Stock, \$.01 par value	09/29/2006		Code V  A	804 (1)	(D)	Price \$ 23.01	77,525.37	D	
Class A Common Stock, \$.01 par value							18,730.5	I (2)	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title an	d 8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Da	ate	Amount of	f Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	g Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3 ar	nd 4)	Own
	Security				Acquired					Follo
	•				(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)					
								Δm	nount	
								or	lount	
						Date	Expiration Date	Title Number of	mber	
						Exercisable			illoci	
				Code V	(A) (D)			Sha	ares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RINGO PHILIP J C/O GENESEE & WYOMING INC. 66 FIELD POINT ROAD GREENWICH, CT 06830

X

# **Signatures**

Allison M. Fergus, Attorney in Fact for Philip J. Ringo

10/03/2006

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - These are deferred stock units acquired pursuant to the deferred stock arrangements for non-employee directors in accordance with the terms of the Company's 2004 Omnibus Incentive Plan ("Omnibus Plan"). The deferred stock units are converted into shares of the
- (1) Company's Class A Common Stock on a one-for-one basis upon distribution. Distribution of shares of Class A Common Stock occurs the first day of the calendar month after a director's service on the Board terminates or upon another date or dates designated by the director pursuant to the Omnibus Plan.
- (2) These shares are owned by Mr. Ringo's wife. Mr. Ringo disclaims beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2