

Malibu Boats, Inc.  
Form 4  
November 08, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Springer Jackie D. Jr.

(Last) (First) (Middle)  
5075 KIMBERLY WAY  
(Street)

LOUDON, TN 37774

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Malibu Boats, Inc. [MBUU]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/04/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount (D) or Price   |  |   |
| Class A Common Stock            | 11/04/2016                           |  | A <sup>(1)(2)</sup>            |   | 20,250 A \$ 0   | 69,441 <sup>(3)</sup>                                    | D   |
| Class A Common Stock            | 11/06/2016                           |  | F <sup>(4)</sup>               |   | 928 D \$ 15.62  | 68,513 <sup>(3)</sup>                                    | D   |
| Class A Common Stock            | 11/06/2016                           |  | F <sup>(5)</sup>               |   | 1,546 D \$ 15.62  | 66,967 <sup>(3)</sup>                                    | D   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| Springer Jackie D. Jr.<br>5075 KIMBERLY WAY<br>LOUDON, TN 37774 | X             |           | Chief Executive Officer |       |

## Signatures

JACK D. SPRINGER, /s/ Wayne Wilson as attorney in fact

11/07/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was issued an equity award of 20,250 shares of restricted stock on November 4, 2016 in consideration of services performed for the Issuer in fiscal 2016.
- (2) The shares of restricted stock will vest in four substantially equal annual installments beginning November 4, 2017.
- (3) Includes 6,781 shares of restricted stock vesting in two substantially equal annual installments beginning on November 6, 2017 and 16,951 shares of restricted stock vesting in three substantially equal annual installments beginning November 6, 2017.
- (4) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 3,390 shares under a restricted stock award granted on November 6, 2015.
- (5) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 5,650 shares under a restricted stock award granted on November 6, 2015.

## Edgar Filing: Malibu Boats, Inc. - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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