CARNIVAL CORP Form 8-K November 03, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF

THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported) October 28, 2014

Carnival Corporation

(Exact name of registrant as specified in its charter)

Republic of Panama

(State or other jurisdiction of incorporation)

001-9610

(Commission File Number)

59-1562976

(IRS Employer Identification No.)

3655 N.W. 87th Avenue

Miami, Florida 33178-2428

United States of America

(Address of principal executive offices)

Carnival plc

(Exact name of registrant as specified in its charter)

England and Wales

(State or other jurisdiction of incorporation)

001-15136

(Commission File Number)

98-0357772

(IRS Employer Identification No.)

Carnival House

5 Gainsford Street

London SE1 2NE

United Kingdom

(Address of principal executive offices)

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(Zip Code) (Zip Code)

(305) 599-2600

011 44 20 7940 5381

(Registrant s telephone number, including area code)

(Registrant s telephone number, including area code)

None

None

(Former name or former address, if changed since last report.)

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrants under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- "Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 5 Corporate Governance and Management.

Item 5.02 <u>Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.</u>

(b) Resignation of Named Executive Officer

Gerald R. Cahill, President and Chief Executive Officer of Carnival Cruise Lines, a division of Carnival Corporation and Carnival plc (together, the Companies), notified the Companies that he will be retiring from his role as President and Chief Executive Officer of Carnival Cruise Lines effective November 30, 2014.

The Companies issued a press release announcing this matter, a copy of which is attached hereto as Exhibit 99.1 and is incorporated by reference herein.

Section 9 Financial Statements and Exhibits.

Item 9.01 Financial Statements and Exhibits.

(c) Exhibits.

99.1 Press release dated October 30, 2014

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, each of the registrants has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CARNIVAL CORPORATION

CARNIVAL plc

By: /s/ Arnaldo Perez Name: Arnaldo Perez By: /s/ Arnaldo Perez Name: Arnaldo Perez

Title: General Counsel & Secretary

Title: General Counsel & Company Secretary

Date: November 3, 2014 Date: November 3, 2014

Exhibit Index

Exhibit No. Description

99.1 Press release dated October 30, 2014