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SAN JUAN BASIN ROYALTY TRUST Form 8-K September 20, 2016

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

#### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported):

**September 20, 2016** 

San Juan Basin Royalty Trust

(Exact name of registrant as specified in its charter)

Texas (State or other jurisdiction

1-8032 (Commission **75-6279898** (IRS Employer

of incorporation)

File Number)

**Identification No.**)

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#### **Compass Bank, Trust Department**

300 W. 7th Street, Suite B

Fort Worth, Texas 76102

(Address of principal executive offices, including zip code)

(866) 809-4553

(Registrant s telephone number, including area code)

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- x Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 8.01. Other Events.

On September 20, 2016, the San Juan Basin Royalty Trust (the Trust ) issued a press release announcing that Institutional Shareholder Services (ISS), the nation s leading independent proxy advisory firm, issued a report recommending that unit holders of the Trust <u>not</u> consent to the request by Southwest Bank and Robert Lansford, senior business development officer for Southwest Bank, for a special meeting of unit holders to remove Compass Bank as the trustee of the Trust. The press release also announces ISS s recommendation that unit holders support Compass Bank s consent revocation by signing, dating and mailing Compass Bank s **BLUE** consent revocation card.

A copy of the press release issued by the Trust is filed herewith as Exhibit 99.1 and is incorporated by reference in its entirety.

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

The following exhibit is filed in accordance with the provisions of Item 601 of Regulation S-K:

## **Exhibit No.** Description

99.1 Press Release, dated September 20, 2016.

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#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Trust has duly caused this report on Form 8-K to be signed on its behalf by the undersigned hereunto duly authorized.

COMPASS BANK, AS TRUSTEE FOR THE SAN JUAN BASIN ROYALTY TRUST

(Registrant)

Date: September 20, 2016 By: /s/ Joshua R. Peterson Joshua R. Peterson

Vice President and Senior Trust Officer

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## EXHIBIT INDEX

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