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AMERICAN SUPERCONDUCTOR CORP /DE/ Form 8-K April 26, 2017

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

# **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of

The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 26, 2017

**American Superconductor Corporation** 

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**000-19672** (Commission

04-2959321 (IRS Employer

of incorporation)

File Number)

**Identification No.)** 

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64 Jackson Road

01434

# Devens, Massachusetts (Address of principal executive offices)

(Zip Code)

Registrant s telephone number, including area code (978) 842-3000

### Not Applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

# Item 2.02. Results of Operations and Financial Condition.

On April 26, 2017, American Superconductor Corporation (the <u>Company</u>) announced preliminary financial results for the fourth quarter and full fiscal year ended March 31, 2017. The full text of the press release issued in connection with the announcement is attached as Exhibit 99.1 to this Current Report on Form 8-K.

The information in this Item 2.02 of this Current Report on Form 8-K (including Exhibit 99.1) shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ) or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as expressly set forth by specific reference in such a filing.

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits:

# Exhibit No.

Press release issued by American Superconductor Corporation on April 26, 2017 (furnished, not filed, for purposes of Section 18 of the Exchange Act).

**Description** 

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# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 26, 2017

# AMERICAN SUPERCONDUCTOR CORPORATION

By: /s/ John W. Kosiba, Jr. John W. Kosiba, Jr.

Senior Vice President and Chief Financial Officer

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# EXHIBIT INDEX

Exhibit No.	Description	
99.1	Press release issued by American Superconductor Corporation on April 26, 2017 (furnished, not for purposes of Section 18 of the Exchange Act).	filed,