

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

TRI-CONTINENTAL CORP

Form NSAR-A

August 28, 2017

PAGE 1
000 A000000 06/30/2017
000 C000000 0000099614
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
008 C000001 801-25943
008 D010001 BOSTON
008 D020001 MA
008 D030001 02110
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
010 B000001 801-25943
010 C010001 BOSTON
010 C020001 MA
010 C030001 02110
012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-05685
012 C010001 BOSTON
012 C020001 MA
012 C030001 02266
012 C040001 8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
PAGE 2
014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 E010001 X
015 A000002 AB SEB BANKAS
015 B000002 S
015 C010002 VILNIUS
015 D010002 LITHUANIA
015 E040002 X
015 A000003 BANCO BCT, S.A.
015 B000003 S
015 C010003 SAN JOSE
015 D010003 COSTA RICA
015 E040003 X
015 A000004 BANCO ITAU URUGUAY S.A.
015 B000004 S
015 C010004 MONTEVIDEO
015 D010004 URUGUAY
015 E040004 X
015 A000005 BANCO NACIONAL DE MEXICO, S.A.
015 B000005 S
015 C010005 MEXICO, D.F.
015 D010005 MEXICO
015 E040005 X
015 A000006 BANCO SANTANDER CHILE
015 B000006 S
015 C010006 SANTIAGO
015 D010006 CHILE
015 E040006 X
015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
015 B000007 S
015 C010007 WARSAW
015 D010007 POLAND
015 E040007 X
015 A000008 BANK LEUMI LE-ISRAEL B.M.
015 B000008 S
015 C010008 TEL AVIV
015 D010008 ISRAEL
015 E040008 X
015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
015 B000009 S
015 C010009 TUNIS
015 D010009 TUNISIA
015 E040009 X
015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000010 S
015 C010010 BRUSSELS
015 D010010 BELGIUM
015 E040010 X
015 A000011 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000011 S
015 C010011 PARIS
015 D010011 FRANCE
015 E040011 X
015 A000012 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000012 S
015 C010012 MILAN
015 D010012 ITALY
015 E040012 X
015 A000013 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000013 S
015 C010013 HESPERANGE
015 D010013 LUXEMBOURG
015 E040013 X

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000014 S
015 C010014 AMSTERDAM
015 D010014 NETHERLANDS
015 E040014 X
015 A000015 BNP PARIBAS SECURITIES SERVICES S.C.A.
015 B000015 S
015 C010015 LISBON
015 D010015 PORTUGAL
015 E040015 X
015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
015 B000016 S
015 C010016 TORONTO
015 D010016 CANADA
015 E040016 X
015 A000017 CITIBANK A.S.
015 B000017 S
015 C010017 ISTANBUL
015 D010017 TURKEY
015 E040017 X
015 A000018 CITIBANK DEL PERU S.A.
015 B000018 S
015 C010018 LIMA
015 D010018 PERU
015 E040018 X
015 A000019 CITIBANK EUROPE PLC
015 B000019 S
015 C010019 BUCHAREST
015 D010019 ROMANIA
015 E040019 X
015 A000020 CITIBANK EUROPE PLC
015 B000020 S
015 C010020 SOFIA
015 D010020 BULGARIA
015 E040020 X
PAGE 4
015 A000021 CITIBANK, N.A.
015 B000021 S
015 C010021 CAIRO
015 D010021 EGYPT
015 E040021 X
015 A000022 CITIBANK, N.A.
015 B000022 S
015 C010022 CARACAS
015 D010022 VENEZUELA
015 E040022 X
015 A000023 Cititrust Columbia S.A.
015 B000023 S
015 C010023 BOGATA
015 D010023 COLOMBIA
015 E040023 X
015 A000024 DBS BANK LTD.
015 B000024 S
015 C010024 SINGAPORE
015 D010024 SINGAPORE
015 E040024 X
015 A000025 DEUTSCHE BANK AG
015 B000025 S
015 C010025 ESCHBORN
015 D010025 GERMANY
015 E040025 X
015 A000026 DEUTSCHE BANK AG

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 B000026 S
015 C010026 BUDAPEST
015 D010026 HUNGARY
015 E040026 X
015 A000027 PT Bank HSBC Indonesia
015 B000027 S
015 C010027 JAKARTA
015 D010027 INDONESIA
015 E040027 X
015 A000028 DEUTSCHE BANK AG
015 B000028 S
015 C010028 LONDON
015 D010028 UNITED KINGDOM
015 E040028 X
015 A000029 FIRSTRAND BANK LIMITED
015 B000029 S
015 C010029 JOHANNESBURG
015 D010029 SOUTH AFRICA
015 E040029 X
015 A000030 HSBC BANK (CHINA) COMPANY LIMITED
015 B000030 S
015 C010030 SHANGHAI
015 D010030 CHINA
015 E040030 X
015 A000031 HSBC BANK (VIETNAM) LTD.

PAGE 5

015 B000031 S
015 C010031 HO CHI MINH CITY
015 D010031 VIETNAM
015 E040031 X
015 A000032 HSBC BANK ARGENTINA S.A.
015 B000032 S
015 C010032 BUENOS AIRES
015 D010032 ARGENTINA
015 E040032 X
015 A000033 HSBC BANK BERMUDA LIMITED
015 B000033 S
015 C010033 HAMILTON
015 D010033 BERMUDA
015 E040033 X
015 A000034 HSBC BANK MALAYSIA BERHAD
015 B000034 S
015 C010034 KUALA LUMPUR
015 D010034 MALAYSIA
015 E040034 X
015 A000035 HSBC BANK MIDDLE EAST LIMITED
015 B000035 S
015 C010035 AL SEEF
015 D010035 BAHRAIN
015 E040035 X
015 A000036 HSBC BANK MIDDLE EAST LIMITED
015 B000036 S
015 C010036 SAFAT
015 D010036 KUWAIT
015 E040036 X
015 A000037 HSBC BANK MIDDLE EAST LIMITED
015 B000037 S
015 C010037 BEIRUT
015 D010037 LEBANON
015 E040037 X
015 A000038 HSBC BANK MIDDLE EAST LIMITED
015 B000038 S

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 C010038 DOHA
015 D010038 QATAR
015 E040038 X
015 A000039 HSBC BANK MIDDLE EAST LIMITED
015 B000039 S
015 C010039 DUBAI
015 D010039 UAE
015 E040039 X
015 A000040 HSBC BANK OMAN S.A.O.G.
015 B000040 S
015 C010040 SEEB
015 D010040 OMAN
015 E040040 X
015 A000041 HSBC BANK PLC
015 B000041 S
PAGE 6
015 C010041 ATHENS
015 D010041 GREECE
015 E040041 X
015 A000042 HSBC SAUDI ARABIA
015 B000042 S
015 C010042 RIYADH
015 D010042 SAUDI ARABIA
015 E040042 X
015 A000043 ISLANDSBANKI HF.
015 B000043 S
015 C010043 REYKJAVIK
015 D010043 ICELAND
015 E040043 X
015 A000044 J.P. MORGAN AG
015 B000044 S
015 C010044 FRANKFURT
015 D010044 GERMANY
015 E040044 X
015 A000045 J.P. MORGAN BANK INTERNATIONAL
015 B000045 S
015 C010045 MOSCOW
015 D010045 RUSSIA
015 E040045 X
015 A000046 J.P. MORGAN S.A. DTVM
015 B000046 S
015 C010046 SAO PAULO
015 D010046 BRAZIL
015 E040046 X
015 A000047 JPMORGAN CHASE BANK, N.A.
015 B000047 S
015 C010047 MELBOURNE
015 D010047 AUSTRALIA
015 E040047 X
015 A000048 JPMORGAN CHASE BANK, N.A.
015 B000048 S
015 C010048 QUARRY BAY
015 D010048 HONG KONG
015 E040048 X
015 A000049 JPMORGAN CHASE BANK, N.A.
015 B000049 S
015 C010049 MUMBAI
015 D010049 INDIA
015 E040049 X
015 A000050 JPMORGAN CHASE BANK, N.A.
015 B000050 S
015 C010050 WELLINGTON

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 D010050 NEW ZEALAND
015 E040050 X
015 A000051 JPMORGAN CHASE BANK, N.A.
015 B000051 S
015 C010051 TAIPEI
PAGE 7
015 D010051 TAIWAN
015 E040051 X
015 A000052 JPMORGAN CHASE BANK, N.A.
015 B000052 S
015 C010052 LONDON
015 D010052 UNITED KINGDOM
015 E040052 X
015 A000053 MIZUHO BANK, LTD.
015 B000053 S
015 C010053 TOKYO
015 D010053 JAPAN
015 E040053 X
015 A000054 NORDEA BANK AB
015 B000054 S
015 C010054 STOCKHOLM
015 D010054 SWEDEN
015 E040054 X
015 A000055 NORDEA BANK AB
015 B000055 S
015 C010055 COPENHAGEN
015 D010055 DENMARK
015 E040055 X
015 A000056 NORDEA BANK AB
015 B000056 S
015 C010056 HELSINKI
015 D010056 FINLAND
015 E040056 X
015 A000057 NORDEA BANK AB
015 B000057 S
015 C010057 OSLO
015 D010057 NORWAY
015 E040057 X
015 A000058 PJSC CITIBANK
015 B000058 S
015 C010058 KIEV
015 D010058 UKRAINE
015 E040058 X
015 A000059 PRIVREDNA BANKA ZAGREB D.D.
015 B000059 S
015 C010059 ZAGREB
015 D010059 CROATIA
015 E040059 X
015 A000060 REPUBLIC BANK LIMITED
015 B000060 S
015 C010060 PORT OF SPAIN
015 D010060 TRINIDAD AND TOBAGO
015 E040060 X
015 A000061 ROYAL BANK OF CANADA
015 B000061 S
015 C010061 TORONTO
015 D010061 CANADA
PAGE 8
015 E040061 X
015 A000062 SANTANDER SECURITIES SERVICES, S.A.
015 B000062 S
015 C010062 MADRID

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 D010062 SPAIN
015 E040062 X
015 A000063 JSC CITIBANK KAZAKHSTAN
015 B000063 S
015 C010063 ALMATY
015 D010063 KAZAKHSTAN
015 E040063 X
015 A000064 SOCIETE GENERALE MAROCAINE DE BANQUES
015 B000064 S
015 C010064 CASABLANCA
015 D010064 MOROCCO
015 E040064 X
015 A000065 STANBIC BANK TANZANIA LIMITED
015 B000065 S
015 C010065 DAR ES SALAAM
015 D010065 TANZANIA
015 E040065 X
015 A000066 STANBIC BANK ZIMBABWE LIMITED
015 B000066 S
015 C010066 HARARE
015 D010066 ZIMBABWE
015 E040066 X
015 A000067 STANBIC IBTC BANK PLC
015 B000067 S
015 C010067 LAGOS
015 D010067 NIGERIA
015 E040067 X
015 A000068 STANDARD BANK LIMITED, MALAWI
015 B000068 S
015 C010068 BLANTYRE
015 D010068 MALAWI
015 E040068 X
015 A000069 STANDARD BANK NAMIBIA LIMITED
015 B000069 S
015 C010069 WINDHOEK
015 D010069 NAMIBIA
015 E040069 X
015 A000070 STANDARD CHARTERED BANK
015 B000070 S
015 C010070 AMMAN
015 D010070 JORDAN
015 E040070 X
015 A000071 STANDARD CHARTERED BANK
015 B000071 S
015 C010071 DHAKA
015 D010071 BANGLADESH
015 E040071 X
PAGE 9
015 A000072 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
015 B000072 S
015 C010072 KARACHI
015 D010072 PAKISTAN
015 E040072 X
015 A000073 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
015 B000073 S
015 C010073 BANGKOK
015 D010073 THAILAND
015 E040073 X
015 A000074 STANDARD CHARTERED BANK BOTSWANA LIMITED
015 B000074 S
015 C010074 GABORONE
015 D010074 BOTSWANA

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 E040074 X
015 A000075 STANDARD CHARTERED BANK COTE D'IVOIRE SA
015 B000075 S
015 C010075 ABIDJAN
015 D010075 IVORY COAST
015 E040075 X
015 A000076 STANDARD CHARTERED BANK GHANA LIMITED
015 B000076 S
015 C010076 ACCRA
015 D010076 GHANA
015 E040076 X
015 A000077 STANDARD CHARTERED BANK KENYA LIMITED
015 B000077 S
015 C010077 NAIROBI
015 D010077 KENYA
015 E040077 X
015 A000078 STANDARD CHARTERED BANK KOREA LIMITED
015 B000078 S
015 C010078 SEOUL
015 D010078 SOUTH KOREA
015 E040078 X
015 A000079 STANDARD CHARTERED BANK UGANDA LIMITED
015 B000079 S
015 C010079 KAMPALA
015 D010079 UGANDA
015 E040079 X
015 A000080 STANDARD CHARTERED BANK ZAMBIA PLC
015 B000080 S
015 C010080 LUSAKA
015 D010080 ZAMBIA
015 E040080 X
015 A000081 SWEDBANK AS
015 B000081 S
015 C010081 TALLINN
015 D010081 ESTONIA
015 E040081 X
015 A000082 SWEDBANK AS
PAGE 10
015 B000082 S
015 C010082 RIGA
015 D010082 LATVIA
015 E040082 X
015 A000083 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000083 S
015 C010083 TOKYO
015 D010083 JAPAN
015 E040083 X
015 A000084 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000084 S
015 C010084 EBENE
015 D010084 MAURITIUS
015 E040084 X
015 A000085 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000085 S
015 C010085 TAGUIG CITY
015 D010085 PHILIPPINES
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 COLOMBO
015 D010086 SRI LANKA
015 E040086 X

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

015 A000087 UBS Switzerland AG
015 B000087 S
015 C010087 ZURICH
015 D010087 SWITZERLAND
015 E040087 X
015 A000088 UNICREDIT BANK AUSTRIA AG
015 B000088 S
015 C010088 VIENNA
015 D010088 AUSTRIA
015 E040088 X
015 A000089 UNICREDIT BANK CZECH RPB. & SLOVAKIA A.S.
015 B000089 S
015 C010089 PRAGUE
015 D010089 CZECH REPUBLIC
015 E040089 X
015 A000090 UNICREDIT BANK CZECH RPB. & SLOVAKIA A.S.
015 B000090 S
015 C010090 BRATISLAVA
015 D010090 SLOVAK REPUBLIC
015 E040090 X
015 A000091 UNICREDIT BANK SRBIJA A.D.
015 B000091 S
015 C010091 BELGRADE
015 D010091 SERBIA
015 E040091 X
015 A000092 UNICREDIT BANKA SLOVENIJA D.D.
015 B000092 S
PAGE 11
015 C010092 LJUBLJANA
015 D010092 SLOVENIA
015 E040092 X
015 A000093 JPMORGAN CHASE BANK, N.A.
015 B000093 S
015 C010093 HONG KONG
015 D010093 CHINA
015 E040093 X
015 A000094 HSBC BANK PLC
015 B000094 S
015 C010094 ATHENS
015 D010094 CYPRUS
015 E040094 X
015 A000095 JPMORGAN CHASE BANK, N.A.
015 B000095 S
015 C010095 LONDON
015 D010095 IRELAND
015 E040095 X
015 A000096 Kookmin Bank Co., LTD.
015 B000096 S
015 C010096 Seoul
015 D010096 South Korea
015 E040096 X
018 000000 Y
019 A000000 Y
019 B000000 194
019 C000000 COLUMBIAFD
020 A000001 GOLDMAN, SACHS & CO.
020 B000001 13-5108880
020 C000001 100
020 A000002 BARCLAYS CAPITAL
020 B000002 13-3297824
020 C000002 55
020 A000003 DEUTSCHE BANK SECURITIES INC.

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

020 B000003 13-2730828
020 C000003 36
020 A000004 RBC CAPITAL MARKETS CORPORATION
020 B000004 13-5033225
020 C000004 29
020 A000005 MORGAN STANLEY
020 B000005 13-2655998
020 C000005 27
020 A000006 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000006 13-5659485
020 C000006 25
020 A000007 UBS SECURITIES LLC
020 B000007 13-3873456
020 C000007 24
020 A000008 J.P. MORGAN SECURITIES, INC.
020 B000008 13-3224016
020 C000008 19
PAGE 12
020 A000009 JEFFERIES & COMPANY, INC.
020 B000009 95-2622900
020 C000009 13
020 A000010 WELLS FARGO INVESTMENTS, LLC
020 B000010 91-1374280
020 C000010 10
021 000000 420
022 A000001 CREDIT SUISSE SECURITIES (USA) L.L.C.
022 B000001 13-5659485
022 C000001 60638
022 D000001 39483
022 A000002 GOLDMAN, SACHS & CO.
022 B000002 13-5108880
022 C000002 31625
022 D000002 43619
022 A000003 JEFFERIES & COMPANY, INC.
022 B000003 95-2622900
022 C000003 30990
022 D000003 18795
022 A000004 MORGAN STANLEY
022 B000004 13-2655998
022 C000004 28882
022 D000004 4412
022 A000005 BARCLAYS CAPITAL
022 B000005 13-3297824
022 C000005 12248
022 D000005 20909
022 A000006 J.P. MORGAN SECURITIES, INC.
022 B000006 13-3224016
022 C000006 25885
022 D000006 4537
022 A000007 WELLS FARGO INVESTMENTS, LLC
022 B000007 91-1374280
022 C000007 3466
022 D000007 16089
022 A000008 BANC OF AMERICA SECURITIES LLC
022 B000008 56-2058405
022 C000008 14151
022 D000008 2790
022 A000009 NOMURA SECURITIES-ASIA
022 C000009 5099
022 D000009 6978
022 A000010 UBS SECURITIES LLC
022 B000010 13-3873456

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

| | | |
|-----|---------|--------------------------|
| 022 | C000010 | 1172 |
| 022 | D000010 | 5777 |
| 023 | C000000 | 253685 |
| 023 | D000000 | 209126 |
| 024 | 000000 | Y |
| 025 | A000001 | Citigroup Capital XIII |
| 025 | B000001 | 11-2418191 |
| | PAGE | 13 |
| 025 | C000001 | D |
| 025 | D000001 | 7924 |
| 025 | A000002 | Franklin Resources, Inc. |
| 025 | B000002 | 13-2670991 |
| 025 | C000002 | E |
| 025 | D000002 | 1944 |
| 025 | A000003 | JPMorgan Chase & Co. |
| 025 | B000003 | 13-3224016 |
| 025 | C000003 | E |
| 025 | D000003 | 32630 |
| 025 | A000004 | Morgan Stanley |
| 025 | B000004 | 13-2655998 |
| 025 | C000004 | E |
| 025 | D000004 | 7196 |
| 026 | A000000 | N |
| 026 | B000000 | Y |
| 026 | C000000 | N |
| 026 | D000000 | Y |
| 026 | E000000 | N |
| 026 | F000000 | N |
| 026 | G010000 | N |
| 026 | G020000 | N |
| 026 | H000000 | N |
| 027 | 000000 | N |
| 028 | A010000 | 0 |
| 028 | A020000 | 0 |
| 028 | A030000 | 0 |
| 028 | A040000 | 0 |
| 028 | B010000 | 0 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
| 028 | C030000 | 0 |
| 028 | C040000 | 0 |
| 028 | D010000 | 0 |
| 028 | D020000 | 0 |
| 028 | D030000 | 0 |
| 028 | D040000 | 0 |
| 028 | E010000 | 0 |
| 028 | E020000 | 0 |
| 028 | E030000 | 0 |
| 028 | E040000 | 0 |
| 028 | F010000 | 0 |
| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| | PAGE | 14 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

| | | |
|-----|---------|----------|
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 500000 |
| 048 | A020000 | 0.415 |
| 048 | B010000 | 500000 |
| 048 | B020000 | 0.410 |
| 048 | C010000 | 2000000 |
| 048 | C020000 | 0.405 |
| 048 | D010000 | 9000000 |
| 048 | D020000 | 0.395 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 12000000 |
| 048 | K020000 | 0.385 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| | PAGE | 15 |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | Y |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | Y |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

054 K000000 N
054 L000000 Y
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 Y
PAGE 16
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 Y
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

| | | | |
|-------|---------|----|---------|
| 070 | I010000 | Y | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
| 070 | Q010000 | Y | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 930993 |
| 071 | B000000 | | 962454 |
| 071 | C000000 | | 1535009 |
| 071 | D000000 | | 61 |
| 072 | A000000 | 6 | |
| 072 | B000000 | | 11116 |
| 072 | C000000 | | 18071 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| | PAGE | 17 | |
| 072 | F000000 | | 3185 |
| 072 | G000000 | | 0 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 297 |
| 072 | J000000 | | 13 |
| 072 | K000000 | | 49 |
| 072 | L000000 | | 0 |
| 072 | M000000 | | 38 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 21 |
| 072 | S000000 | | 13 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 30 |
| 072 | W000000 | | 135 |
| 072 | X000000 | | 3781 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 25406 |
| 072AA | 000000 | | 127958 |
| 072BB | 000000 | | 0 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 34735 |
| 072DD | 010000 | | 28095 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 0.5016 |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.0000 |
| 073 | C000000 | | 0.0000 |

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

| | |
|-------------|---------|
| 074 A000000 | 8 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 384403 |
| 074 E000000 | 117844 |
| 074 F000000 | 1038140 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 35672 |
| 074 J000000 | 7074 |
| 074 K000000 | 0 |
| 074 L000000 | 7463 |
| 074 M000000 | 44 |
| 074 N000000 | 1590648 |
| 074 O000000 | 11064 |
| 074 P000000 | 187 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| PAGE 18 | |
| 074 R030000 | 0 |
| 074 R040000 | 1300 |
| 074 S000000 | 0 |
| 074 T000000 | 1578097 |
| 074 U010000 | 55898 |
| 074 U020000 | 0 |
| 074 V010000 | 27.56 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 12784 |
| 074 Y000000 | 438 |
| 075 A000000 | 0 |
| 075 B000000 | 1520228 |
| 076 000000 | 24.35 |
| 077 A000000 | Y |
| 077 B000000 | N |
| 077 C000000 | Y |
| 077 D000000 | N |
| 077 E000000 | Y |
| 077 F000000 | N |
| 077 G000000 | N |
| 077 H000000 | N |
| 077 I000000 | N |
| 077 J000000 | N |
| 077 K000000 | N |
| 077 L000000 | N |
| 077 M000000 | N |
| 077 N000000 | N |
| 077 O000000 | N |
| 077 P000000 | N |
| 077 Q010000 | N |
| 077 Q020000 | N |
| 077 Q030000 | N |
| 078 000000 | N |
| 080 C000000 | 0 |
| 081 B000000 | 0 |
| 082 B000000 | 0 |
| 083 B000000 | 0 |
| 084 B000000 | 0 |
| 086 A010000 | 52 |
| 086 A020000 | 1193 |
| 086 B010000 | 1257 |

Edgar Filing: TRI-CONTINENTAL CORP - Form NSAR-A

086 B020000 29322
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0

PAGE 19

087 A010000 Tri-Continental - Common Stock:
087 A020000 895436103
087 A030000 TY
087 B010000 Tri-Continental - Preferred Stock
087 B020000 895436202
087 C010000 Tri-Continental - Warrant
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE MICHAEL G. CLARKE
TITLE TREASURER