

NOMURA HOLDINGS INC
Form 6-K
January 11, 2018
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of January 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from December 1, 2017 to December 31, 2017

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: January 11, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

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*[Translation of Share Buyback Report for the reporting month from December 1, 2017 to December 31, 2017
filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on January 11, 2018]*

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of December 31, 2017

| | Number of Shares | Total Repurchase Amount (JPY) |
|---|------------------|--|
| Authorization pursuant to the resolution of the Board (October 30, 2017) | | |
| (Period of repurchase: from November 15, 2017 to March 30, 2018 (excluding the ten business days following the announcement of each quarterly financial results)) | 70,000,000 | 50,000,000,000 |
| Repurchases during this reporting month (Date of repurchase) | (Date) | |
| | December 1 | 2,310,000 |
| | December 4 | 2,159,100 |
| | December 5 | 2,310,000 |
| | December 6 | 2,310,000 |
| | December 7 | 2,099,200 |
| | December 8 | 1,840,000 |
| | December 11 | 1,840,000 |
| | December 12 | 1,840,000 |

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| | | | |
|--|-------------|-------------------|-----------------------|
| | December 13 | 1,840,000 | 1,232,501,160 |
| | December 14 | 1,840,000 | 1,211,341,350 |
| | December 15 | 1,690,000 | 1,130,863,110 |
| | December 18 | 1,690,000 | 1,141,259,500 |
| | December 19 | 1,690,000 | 1,144,746,090 |
| | December 20 | 1,690,000 | 1,136,722,560 |
| | December 21 | 1,690,000 | 1,135,353,760 |
| | December 22 | | |
| Total | | 31,328,300 | 20,971,310,510 |
| Aggregate shares repurchased as of the end of this reporting month | | 59,149,400 | 38,905,455,470 |
| Progress of share repurchase (%) | | 84.5 | 77.8 |

Table of Contents**2. Status of disposition**

as of December 31, 2017

| | | Number of shares disposed in the reporting month | Aggregate amount of disposition (JPY) |
|--|-------------|---|--|
| Treasury shares disposed subject to procedures for issuance of new shares | (Date) | | |
| Subtotal | | | |
| Treasury shares canceled | (Date) | | |
| | December 18 | 179,000,000 | 111,941,337,400 |
| Subtotal | | 179,000,000 | 111,941,337,400 |
| Treasury shares transferred upon merger, share exchange or demerger | (Date) | | |
| Subtotal | | | |
| Other (upon request for purchasing less-than-a-full-unit shares) | (Date) | | |
| Subtotal | | | |
| Other (exercise of stock acquisition rights) | (Date) | 152,800 | 1,043,800 |
| | December 1 | 20,000 | 20,000 |
| | December 4 | 51,500 | 51,500 |
| | December 5 | 379,600 | 379,600 |
| | December 6 | 35,600 | 332,600 |
| | December 7 | 51,500 | 1,239,500 |
| | December 8 | 5,400 | 899,400 |
| | December 11 | 4,600 | 302,600 |
| | December 12 | 251,000 | 251,000 |
| | December 13 | 4,000 | 1,194,000 |
| | December 14 | 15,800 | 909,800 |
| | December 15 | 6,800 | 6,800 |
| | December 18 | 42,100 | 42,100 |
| | December 19 | 8,100 | 8,100 |

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| | | | |
|----------|-------------|-------------|-----------------|
| | December 20 | 23,200 | 23,200 |
| | December 21 | 117,900 | 1,306,900 |
| | December 22 | 1,000 | 298,000 |
| | December 26 | 3,400 | 3,400 |
| | December 28 | 96,600 | 96,600 |
| | December 29 | | |
| Subtotal | | 1,270,900 | 8,408,900 |
| Total | | 180,270,900 | 111,949,746,300 |

3. Status of shares held in treasury

as of December 31, 2017

| As of the end of the reporting month | Number of Shares |
|--------------------------------------|------------------|
| Total number of issued shares | 3,643,562,601 |
| Number of shares held in treasury | 242,910,441 |