

NOMURA HOLDINGS INC
Form 6-K
February 09, 2018
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of February 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): _____

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from January 1, 2018 to January 31, 2018.

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: February 9, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents*[Translation of Share Buyback Report for the reporting month from January 1, 2018 to January 31, 2018**filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on February 9, 2018]***Class of Shares: Common Stock****1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of January 31, 2018

		Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (October 30, 2017)			
(Period of repurchase: from November 15, 2017 to March 30, 2018 (excluding the ten business days following the announcement of each quarterly financial results))		70,000,000	50,000,000,000
Repurchases during this reporting month	(Date)	1,480,000	1,011,051,450
	(Date of repurchase)		
	January 4	1,400,000	987,011,670
	January 5	1,200,000	863,767,750
	January 9	1,200,000	869,226,280
	January 10	1,180,000	856,626,440
	January 11	1,200,000	874,611,000
	January 12	1,280,000	948,348,220
	January 15	1,270,600	942,335,980

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	January 16	640,000	470,350,600
	January 17		
Total		10,850,600	7,823,329,390
Aggregate shares repurchased as of the end of this reporting month		70,000,000	46,728,784,860
Progress of share repurchase (%)		100.0	93.5

Table of Contents**2. Status of disposition**

as of January 31, 2018

	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)	
Treasury shares disposed subject to procedures for issuance of new shares	(Date)		
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)		
Subtotal			
Other (exercise of stock acquisition rights)	(Date)	1,000	298,000
	January 4	16,100	16,100
	January 5	2,000	598,000
	January 9	58,900	949,900
	January 10	49,700	5,999,700
	January 11	31,500	1,516,500
	January 12	5,700	1,195,700
	January 15	69,900	960,900
	January 17	23,300	320,300
	January 18	3,000	897,000
	January 19	7,100	7,100
	January 22	9,200	1,199,200
	January 23	12,200	607,200
	January 24	10,700	1,497,700
	January 25	17,800	910,800

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	January 26	87,400	87,400
	January 29	6,000	1,794,000
	January 30	12,100	2,544,600
	January 31		
Subtotal		423,600	21,400,100
Total		423,600	21,400,100

3. Status of shares held in treasury

as of January 31, 2018

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	253,340,571