EMPIRE RESORTS INC Form 8-K March 25, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or Section 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 25, 2019

EMPIRE RESORTS, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 001-12522 (Commission File Number) 13-3714474 (IRS Employer

Identification No.)

c/o Monticello Casino and Raceway, State Route 17B,

12701

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P.O. Box 5013, Monticello, NY

(Address of principal executive offices) (Zip Code) Registrant s telephone number, including area code: (845) 807-0001

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation to the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01. Other Events.

On March 25, 2019, the New York State Gaming Commission (the NYSGC) approved a request by Montreign Operating Company, LLC d/b/a Resorts World Catskills (Montreign), a wholly-owned subsidiary of Empire Resorts, Inc., to adjust certain conditions of its gaming facility license (the Gaming Facility License) with respect to Resorts World Catskills (the Casino) to reduce the minimum number of slot machines from no less than 2,150 to no less than 1,600.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: March 25, 2019

EMPIRE RESORTS, INC.

By:/s/ Ryan EllerName:Ryan EllerTitle:President and Chief Executive Officer