

Edgar Filing: LEMOND ALEXANDER - Form 3

LEMOND ALEXANDER  
Form 3  
October 25, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person\*

LEMOND

ALEXANDER

(Last)

(First)

(Middle)

c/o TRIARC COMPANIES, INC., 280 PARK AVENUE

(Street)

NEW YORK

NY

10017

(City)

(State)

(Zip)

2. Date of Event Requiring Statement (Month/Day/Year)

10/24/02

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

ENCORE CAPITAL GROUP, INC.

ECPG.OB

5. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

6. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (Check applicable line)

Form Filed by One Reporting Person

Form Filed by More than One Reporting Person

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Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities<br>Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D) or<br>Indirect (I)<br>(Instr. 5) | 4. Nature<br>(Instr.) |
|------------------------------------|-------------------------------------------------------------|-------------------------------------------------------------------|-----------------------|
| COMMON STOCK, \$0.01 PAR VALUE     | 43,413                                                      | D                                                                 |                       |

\* If the Form is filed by more than one Reporting Person, see Instruction 5(b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print of Type Responses)

(Over)

FORM 3 (continued)

Table II -- Derivative Securities Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of Securities  
Underlying Derivative Security

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| 1. Title of Derivative<br>Security (Instr. 4)                              | 2. Date Exercisable<br>and Expiration Date<br>(Month/Day/Year) |                         | (Instr. 4)<br>Title | Amount<br>or<br>Number<br>of<br>Shares | 4. Conve<br>sion<br>Exerc<br>Price<br>Deriv<br>Secur |
|----------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------|---------------------|----------------------------------------|------------------------------------------------------|
|                                                                            | Date<br>Exer-<br>cisable                                       | Expira-<br>tion<br>Date |                     |                                        |                                                      |
| SERIES A SENIOR<br>CUMULATIVE PARTICIPATING<br>CONVERTIBLE PREFERRED STOCK | 2/14/02                                                        |                         | COMMON STOCK        | 27,800                                 | \$0.50                                               |
|                                                                            |                                                                |                         |                     |                                        |                                                      |
|                                                                            |                                                                |                         |                     |                                        |                                                      |
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|                                                                            |                                                                |                         |                     |                                        |                                                      |

Explanation of Responses:

\_\_\_\_\_  
 /s/ Alexander Lemond  
 \*\*Signature of Reporting Person  
 \_\_\_\_\_  
 10/23/02  
 \_\_\_\_\_  
 Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
 See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.  
 If space provided is insufficient, see Instruction 6 for procedure.

(Print of Type Responses)