NEOSE TECHNOLOGIES INC Form 8-K November 04, 2004

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

# CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of Earliest Event Reported): November 4, 2004

# Neose Technologies, Inc.

| (Exact Name of Issuer as Specified in Charter) |  |                          |  |  |  |
|--|--|--------------------------|--|--|--|
|  | Delaware 0-2   |                          | 7718   | 13-3549286   |  |
|  | (State or Other Jurisdiction of<br>Incorporation or Organization)                | (Commission File Number) |  | (I.R.S. Employer Identification<br>Number)                 |  |
| 102 Witmer Road, Horsham, Pennsylvania         |  |                          | 19044  |  |  |
| (Address of Principal Executive Offices)       |  | (Zip Code)               |  |  |  |
|  |  | (215) 3                  | 15-9000  |  |  |
|  | (Re  | gistrant s Telephone N   | umber, Including Area (                                | Code)  |  |
|  | · ·  |                          | ess, if Changed Since Las<br>neously satisfy the filin | st Report) g obligation of the registrant under any of the |  |
| o  | Written communications pursuant to Rule 425 under the Securities Act             |                          |  |  |  |
| o  | Soliciting material pursuant to Rule 14a-12 under the Exchange Act               |                          |  |  |  |
| o  | Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act |                          |  |  |  |

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act

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#### Item 2.02 Results of Operations and Financial Condition.

On November 4, 2004, Neose Technologies, Inc. (the Company) announced financial results for the quarter ended September 30, 2004. A copy of the press release is attached hereto as Exhibit 99.1 and incorporated herein by reference.

Safe Harbor Statement under the Private Securities Litigation Reform Act of 1995: Statements in this report regarding our business that are not historical facts are forward-looking statements that involve risks and uncertainties. For a discussion of these risks and uncertainties, any of which could cause our actual results to differ from those contained in the forward-looking statement, see the section entitled Factors Affecting the Company s Prospects in our Annual Report on Form 10-K for the year ended December 31, 2003.

#### Item 9.01 Financial Statements and Exhibits.

- (a) Financial Statements of Businesses Acquired: None
- (b) Pro Forma Financial Information: None
- (c) Exhibits: Reference is made to the Exhibit Index annexed hereto and made a part hereof.

-2-

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#### **Signatures**

Pursuant to the requirements of the Securities and Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

Date: November 4, 2004

By: /s/ C. Boyd Clarke

C. Boyd Clarke

President and Chief Executive Officer

-3-

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## **Index to Exhibits**

| Exhibit No.  | Description                          |
|--------------|--------------------------------------|
| Exhibit 99.1 | Press Release dated November 4, 2004 |